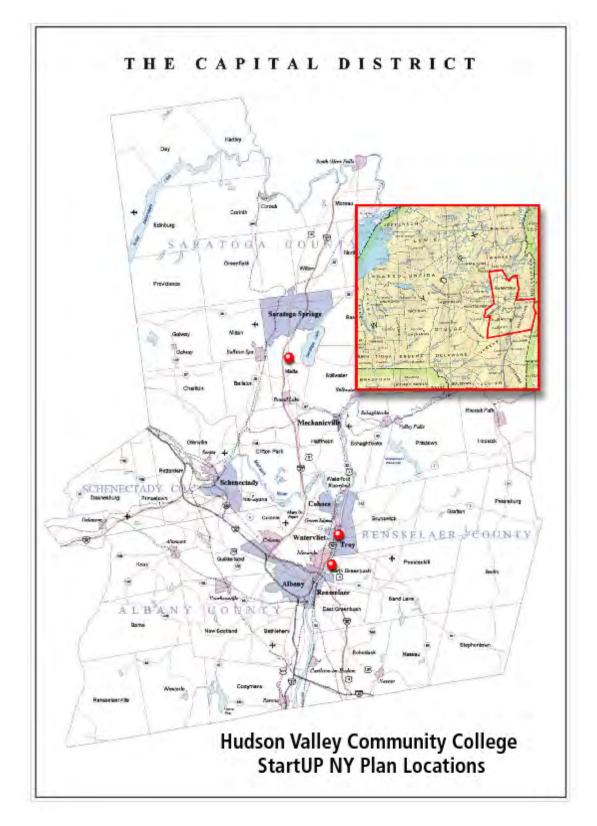


Campus Name: Hudson Valley Community College Campus Contact 1 Name: Roger Ramsammy, PhD Campus Contact 1 Title: President Campus Contact 1 E-mail: r.ramsammy@hvcc.edu Campus Contact 1 Phone: (518) 629-4530 Campus Contact 2 Name: Penny Hill Campus Contact 2 Title: Dean, Economic Development and Workforce Initiatives Campus Contact 2 E-mail: p.hill@hvcc.edu Campus Contact 2 Phone: (518) 629-7294

# THE TAX-FREE AREA PLAN SHALL BE DEVELOPED BY THE CAMPUS TEAM AND PROVIDE THE FOLLOWING REQUIRED INFORMATION:

- 1) Specification or identification of space or land proposed for designation as a Tax-Free Area identifying the following:
  - i. Provide the name and address of the SUNY, CUNY or community college seeking approval as a Sponsor, the address of the space or land proposed for designation as a Tax-Free Area, and a written description of the physical characteristics of the area for designation.

Name: Hudson Valley Community College (HVCC) Campus Addresses: 80 Vandenburgh Avenue Troy, New York 12180 (primary), Capital District Educational Opportunity Center (EOC) 431 River Street, Troy, NY 12180 (secondary) and HVCC TEC-SMART extension campus 345 Hermes Road Malta, NY 12020 (secondary) See map below.



Address(es) of Proposed Tax-Free Area(s) :

<u>OFF CAMPUS</u> properties designated for Tax-Free Areas at this time will be in three general locations.

A. Sixteen properties containing VACANT spaces close to the <u>HVCC EOC Downtown</u> Troy address of 431 River Street Troy, 12180, the heart of an urban center with strong ties to industrial history. This area is experiencing high demand for space. The buildings are located at:

- 195 Cohoes Avenue, Green Island, NY 12183
- 75 102nd Street, Troy, NY 12180
- 32 Third Street, Troy, NY 12180
- 500 Federal Street, Troy, NY 12180
- 5 Third Street, Troy, NY 12180
- 2 Third Street, Troy, NY 12180
- 291 River Street, Troy, NY 12180
- 35 Fourth Street, Troy, NY 12180
- 274 2<sup>nd</sup> Street, Troy, NY 12180
- 275 Fourth Street, Troy, NY 12180
- 225 River Street, Troy, NY 12180
- 251 River Street, Troy, NY 12180
- 255 River Street, Troy, NY 12180
- 403 Fulton Street, Troy, NY 12180
- 5 First Street, Troy, NY 12180
- 2247 13th Street, Troy, NY 12180

B. One Property near the College's <u>Main Campus</u> at 80 Vandenburgh Avenue, Troy, NY 12180

• 22 Hamilton Way, Castleton-on-Hudson, NY 12033

C. One Property near the College's <u>Extension Campus</u> on 345 Hermes Road, Malta NY 12020

• 107Hermes Road, Malta, NY 12020

Three <u>ON- CAMPUS</u> VACANT properties will now be included in the HVCC StartUP NY plan by virtue of affiliation agreements with the Rensselaer County IDA (RCIDA) and the Schodack Wildcat Foundation.

- 19 Third Street, Troy, NY 12180
- 5 East Industrial Parkway, Troy, NY 12180
- 1477 South Schodack Road, Castleton, NY 12033

Description of Physical Characteristics of Proposed Tax-Free Area(s):

- 195 Cohoes Avenue in Green Island is a privately held property across the river from the downtown Troy hub. It is expanding with <u>new</u> construction attached to an existing building. This location is located in an Industrial park setting 1.5 miles from the Downtown Troy Campus and HVCC seeks ESD waivers for Start-UP NY designation with <u>28,800</u> square feet of new manufacturing space. (SU-315-2-B-T2195-000-U)
- The property at 75 102<sup>nd</sup> Street in Troy is an existing underutilized building with suites that will be designated as Tax-Free Area of <u>18,036</u> square feet. These vacant

spaces include open suites and some larger floor space designated for manufacturing, office and light industrial purposes. The privately held building is located 1.4 miles from the downtown HVCC Troy campus. HVCC seeks ESD waivers for Start-UP NY designation. (SU-315-2-B-T2079-000-A)

- The Quackenbush Building located at 32 Third Street has been newly renovated and will offer multiple vacant spaces and suites on floor one through four comprising <u>13,737</u> square feet of off-campus space for Tax-Free Area designation. Within these spaces, the Center of Gravity will offer a variety of shared business services including access to equipment for design, prototyping, and manufacturing, and shared access to professional expertise. Using the Quackenbush space for this purpose leverages CFA funds that are being used for the renovation. There is a possible StartUP NY software company looking at that space. (SU-315-2-B-T2032-001,2,3,4-A).
- Property located prominently at 500 Federal Street is a newer vacant office space on the second and fourth floors with <u>7,406</u> square feet recently renovated in downtown district good for computer software, mixed use office space. (SU-315-2-B-T2500-004-A and SU-315-2-B-T2500-002-A)
- Two floors, second and third floors consisting of <u>11,811</u> combined square feet in the building known as the Market Block Building located at the corner of 5 Third Street have recently been renovated and is now vacant. The space is ideal for IT/software design operations. One of the current growing StartUP NY software development companies are hoping to move into the vacant recently renovated space (SU-315-2-B-T2005-002-A and SU-315-2-B-T2005-003-A).
- A recently renovated 19th century historic building in the heart of the downtown at 2 Third Street, the Frear building has a total of <u>8,230</u> vacant square feet on the second and third floors suitable for mixed office, computer companies and newly formed businesses. A recently formed potential StartUP NY Software company is seeking to locate in this new space. (SU-315-2-B-T2002-002-A and SU-315-2-B-T2002-003-A)
- Another historic renovation on 291 River Street, Troy has <u>1,435</u> square feet of Tax-Free area or the entire vacant second floor for office and or IT/computer operations. (SU-315-2-B-T2291-002-A)
- Property located at 35 Fourth Street known as the Uncle Sam Transit Center has <u>3,500</u> square feet of vacant space suitable for mixed office and distribution center use on the first floor. (SU-315-2-B-T2035-001-A)
- Property on 274 Second Street in Troy has a new owner. The site is an older, twofloor industrial building being renovated and will now offer <u>13,558</u> square feet vacant space as Tax Free Area. This vacant space offers light manufacturing, office and warehouse space is 1.4 miles from the College downtown campus. HVCC seeks ESD waivers for Start-UP NY designation. (SU-315-2-B-T2274-001,2-A)

- Also, part of the urban revitalization effort in downtown Troy is located at 275 Fourth Street in Troy. Once a bar, this trendy vacant space of <u>990</u> square feet on the ground floor is perfect for a small computer company or offices for a StartUP NY partner. (SU-315-2-B-T2275-001-A)
- A newly renovated property known as the Sentinel Building located at 225 River Street, Troy will offer <u>2,600</u> square feet of vacant second and third floors of space for office, computer operations and other mixed uses. This property offers amazing views of the Hudson River. (SU-315-2-B-T2225-002,3-A)
- Another historic renovation on 251 River Street, Troy is known as the Burdett Building. It will offer <u>4,924</u> square feet of Tax-Free area or the entire vacant fifth floor for office and or IT/computer operations. (SU-315-2-B-T2251-005-A)
- Adding to the downtown Troy cluster of historic renovated spaces will be the McCarthy Building at 255 River Street. The beautiful vacant first floor, mezzanine and second floor will offer a total of <u>10,888</u> square feet for office and or computer operations. This for mixed-use Tax-free area. (SU-315-2-B-T2255-001,2-A)
- Two floors of a visible, corner building in the heart of the downtown economic cluster of Troy comprises <u>6,115</u> square feet located at 403 Fulton Street. This newly renovated vacant space has lots of natural light and would be suitable for computer software companies and offices. (SU-315-2-B-T2403-002,3-A)
- Four floors of an iconic 19<sup>th</sup> Century Troy building offers <u>11,547</u> square feet of vacant space. Known as the Rice building, this space dates from the prosperous Victorian era, the building at 5 First Street has suites and open space on four floors suitable for computer software design companies, offices or light assembly. (SU-315-2-B-T2005R-001,2,3,4-A)
- Located at 2247 13th Street is a new space of <u>5,544</u> square feet that once was classrooms in St. Paul's School. The Center for Purposeful Creation takes up the first and second floors of this vacant building, these suites are perfect for entrepreneurial work spaces, creative design or software development. (SU-315-2-B-T22238-001,2-A)
- A building located at 22 Hamilton Way Castleton-on Hudson 12033 located near the Main Campus in North Greenbush is being renovated for exclusive use by a StartUP NY company. This in a former printing shop that has been vacant for several years. <u>7,400</u> square feet of primarily administrative office space is located within in a much larger industrial manufacturing space. The brick structure is excellent as mixed-use with industrial/manufacturing space and office administration space. HVCC seeks a distance waiver from ESD for Start-UP NY designation for this vacant property. (SU-315-1-B-T1022-000-U)
- Two story suite in a newer mixed-use office building located at 107 Hermes Road, Malta 12020 is situated in a technology park next door to HVCC Extension Campus

and in close proximity to an international Semiconductor Manufacturing Fab. This vacant space is Ideal for a relocating or growing technology company and contains a total of <u>31,304</u> square feet. (SU-315-2-B-M0107-001-A, SU-315-2-B-M0107-002-A)

- Recently renovated vacant space of <u>7,057</u> square feet on the second floor of a historical Masonic Hall is located at 19 Third Street Troy NY 12020. It is available in its entirety or divided into smaller suites. The space is centrally located in a software and gaming hub for budding computer programming companies or general office use. One current StartUP NY partner is considering expanding into this space. (SU-315-1-B-T2019-002-A)
- Vacant land comprising a total of <u>11.25</u> acres is located at 5 East Industrial Parkway in Troy will be a Tax-Free Area. The property is divided into two plots, is zoned industrial and ready for manufacturing or warehousing operations to build and develop. It will be considered ON-CAMPUS space through an Affiliation Agreement with the Rensselaer County IDA. (SU-315-1-L-T2005-00A,B-A).
- Vacant basement space of <u>6,500</u> square feet located in the Schodack Middle School at 1477 South Schodack Road will be available as tax-free space for small startup companies to locate. This property is also considered ON-CAMPUS space because of an Affiliation Agreement between the College and the Schodack Wildcat Foundation, a non-profit established by Schodack School District. (SU-315-1-B-T11477-000-A).
- i. Complete the Excel spreadsheet template provided with this document, noting the instructions on page 2. Include the official SUNY Physical Space Inventory (PSI) building number and a clear description of the spaces in the building or floor (when the entire floor is under consideration), or floor/wing (with outer rooms defining the space specifically listed). Include only properties sought to be designated now and exclude potential sites that may be considered in the future (see 2a below). <u>Attach the completed spreadsheet to this plan</u>.
- ii. Provide also a representation of each proposed site drawn in AutoCAD on a scaled campus map with boundaries drawn clearly. Two versions should be created; one including an imbedded layer from Google Earth or other aerial photograph of the property. The second version should exclude the photographic imagery. Each parcel under consideration must have a unique alpha numeric identifier, clearly labeled on each plan which ties to identifiers in the Excel spreadsheet. If digital files containing Polygon shapefile that delineates area for designation are available, provide these as well. <u>Attach these materials to this plan.</u>
- iii. Provide a campus map with each proposed building shaded. Label each building with the official building number as listed in the SUNY Physical Space Inventory (PSI) along with the building name. For each building shaded and labeled, include floor plans of all areas under consideration with the specific spaces clearly shaded and labeled with official PSI room numbers. If digital files containing Point shapefiles that provide locations of area for designation are available, provide these as well. Attach these materials to this plan.

#### HUDSON VALLEY COMMUNITY COLLEGE START-UP NY CAMPUS PLAN

2) The total square footage of the space and/or acreage of land proposed for designation as a Tax-Free Area is:

*The total number of OFF-CAMPUS square feet designated at this time is <u>187,825</u> square feet <i>in sixteen properties.* 

The total number of ON-CAMPUS square feet designated at this time is <u>13,557</u> square feet and <u>11.25</u> acres.

TOTAL COMBINED OFF-CAMPUS and ON-CAMPUS space designated is: <u>201,382 square feet</u> and <u>11.25 acres</u>

2a) <u>If applicable</u>: You may include here a description of any <u>potential</u> space or acreage of land that you may seek to designate as a Tax-Free Areas under the START-UP NY Program in the future. This may include campus property that may become vacant, or other properties in your community that are not currently part of your campus but may be desirable for a company partner and with which you may consider an affiliation if an appropriate partner is identified. Do <u>not</u> include these properties in the Excel spreadsheet.

Space on all the Hudson Valley Community College campuses is very limited and most office and existing classroom space has been specifically earmarked for educational purposes. Open land around the campus is constrained by development. <u>OFF-CAMPUS</u> available square feet designated for the StartUP NY program is approaching the <u>maximum capacity</u> of the 200,000 square feet. Future amendments will probably remove and re-allocate space that have filled with non-StartUP NY companies and businesses. Some limited space and acreage can be found within a mile of each of all three HVCC sites for future designation as Tax-Free Areas and StartUP NY business development as business partners are identified :

- The Troy campus is located on 80 Vandenburgh Avenue in Troy. In proximity to this space are mixed use and suburban spaces, industrial parks and limited vacant land. These spaces are likely candidates for future Tax-Free designations.
- The HVCC Malta extension campus is located 25 miles north of Troy at 345 Hermes Road in Saratoga County in the towns of Malta and Stillwater. TEC-SMART, the Training and Education Center for Semiconductor Manufacturing and Alternative and Renewable Technologies is located at the Saratoga Technology and Energy Park<sup>®</sup> (STEP<sup>®</sup>) owned and operated by New York State Energy Research and Development Authority (NYSERDA). The location is next to the Luther Forest Technology Campus, a 1,350-acre campus designed for nanotechnology manufacturing and research and development which houses semiconductor manufacturer GLOBALFOUNDRIES. Both STEP and Luther Forest parks have space and/or buildings which may be open for development ideal for alternative energy, high tech and manufacturing businesses.
- Additional potential Tax-Free area sites exist near the EOC campus at the Hedley Plaza in downtown Troy.
- Future potential of Tax-free area space designations will probably fall into developing more Affiliation Agreements with non-profit entities, including IDA's as ON-CAMPUS space where there is no limit to the mile-radius or 200,000 square foot limit of space.
  - 2b) *If applicable:* The total square footage of the space or acreage of land that you may propose to designate as a Tax-Free Area as identified in 2a, if known.
  - Undetermined

3) Provide a description of the type of business or businesses that may locate in the area identified in #1.

The types of businesses that the College is most interested in pursuing include new and expanding biolife sciences and biotech businesses, nanotechnology, semiconductor development, chemical manufacturing and most advanced manufacturing companies. Businesses related to technology development for clean energy production such as existing and emerging fields of solar, wind, geothermal, battery, fuel-cell, biomass and others will be considered for sponsorship. Businesses engaged in energy generation or distribution will not be considered (program regulations §220.6 (b)(1)(xiii). Additionally, HVCC would consider businesses relating to computer science and information systems, web development, health information, management information and other computing businesses.

HVCC will consider start-ups and existing business that will partner with College's new Entrepreneurship A.A.S. degree, which directs students through the start-up pathway, will be deemed relevant if the start-up is in direct alignment with or indirectly lends support to any of the types of business mentioned above. Businesses that list these categories as their primary business and are noted by the Capital Region's regional economic development council as important to the development of the region would be targets for the College's sites. HVCC will NOT consider including any of the businesses prohibited by the StartUP NY regulations

4) Provide a description of the campus academic mission, and explain how the businesses identified in #3 will align or further the academic mission of the university or college.

Hudson Valley Community College's vision is to "Deliver what the future demands". The College positions itself to meet the demands of a rapidly transforming world. In delivering a dynamic learning environment, the college prepares those it serves to meet the obligations inherent in being productive and responsible citizens and stewards of a global community. The mission of the College is "to provide dynamic, student-centered, comprehensive, and accessible educational opportunities that address the diverse needs of the community." Both vision and mission serve to frame the strategic directions the college plans to take to enable it to best serve the needs and concerns of its students, faculty, and staff, as well as those of the Capital Region, the State of New York, and the country as a whole. Types of businesses that the college is interested in designating appropriate for consideration are those which reinforce and support college programs and have a linkage to the College's broad vision and mission.

Businesses selected for sponsorship will align with the mission of the college in knowledge creation, knowledge diffusion, and formation of values. These businesses must demonstrate the potential to enhance the college's ability to offer a comprehensive education ( both technical and traditional) and offer benefits in the following ways:

•Act as a recruiting tool and improve enrollment in specific areas of specialization of the colleges 85+ degree and certificate programs including alternative energy, IT and computer science, advanced manufacturing, semiconductor manufacturing, business education, entrepreneurship, biotechnology and other emerging technologies \*(Table 1 listing specific NYSED Curriculum Codes where alignment occurs below)

• *Guide intellectual growth and innovation by providing leadership and participation in college advisory and campus committees* 

Incorporate real-world process and skill requirements into college program curricula by assisting with course review and development
Provide internship and training opportunities for hands-on vocational training and learning experiences for students that includes student access to resources and actual equipment
Provide actual business learning opportunities with links to entrepreneurship and business classes

•Provide direct job opportunities for campus graduates

TABLE 1 Alignment		NYSED Curriculum		
*HVCC Program Title	Award Type	Codes		
Alternative energy (Clean Energy Management)	AAS	37789		
IT and computer science degrees	AS, CERT	30084,30398		
IT and computer science degrees	AAS	28664,28665,28666		
IT and Computer science degrees	AAS	28668,00946		
Digital marketing	AAS	36881		
Advanced manufacturing technology	AOS	00970,24453		
Semiconductor manufacturing technology	AAS	29247		
Business Administration	AS	77162		
Business Administration	AAS	00926,19460,00931		
Entrepreneurship	AAS, CERT	35638,36269		
Biotechnology	AS, CERT	24991,32366		
Other emerging technologies (mechatronics)	AAS	36959		

- 5) Provide a description of how participation by these types of businesses in the START-UP NY Program will generate positive community and economic benefits, including but not limited to:
  - Increased employment opportunities;
  - Increased opportunities for internships, vocational training and experiential learning for undergraduate and graduate study;
  - Diversification of the local economy;
  - Environmental sustainability;
  - Increased entrepreneurship opportunities;
  - Positive, non-competitive and/or synergistic links to existing businesses;
  - Effect on the local economy; and
  - Opportunities as a magnet for economic and social growth.

Businesses seeking to partner with HVCC and occupy space around the campus in the StartUP NY program will need to demonstrate how they will generate positive community and economic benefits. Hudson Valley Community College will consider a variety of criteria to establish that a business will bring about those benefits. One criteria used for existing businesses in establishing how they will generate <u>community</u> benefits will be based on a statement of previously demonstrated commitment to the community where the business operated in. New and emerging businesses will need to identify the importance of community involvement in their mission statement and business plan and explain in their business application how they will provide a positive impact on the surrounding community. Types of benefits HVCC will be looking for include encouraging volunteerism within the community from hosting a blood drives to sponsoring a little league.

Guiding criteria determining <u>economic</u> benefits of the business applicant will include the following:

- Increasing employment opportunities within the community at large and for graduates of HVCC's programs;
- Providing opportunities for internships, vocational training and experiential learning for undergraduate and graduate study;
- Creating positive linkages to existing business; especially small scale, existing local vendors and distributors.

These criteria will boost local economy and create regional economic development and can be measured. HVCC will initially seek definition of these criteria from each businesses pursuing StartUP NY sponsorship on their business application. The businesses' progress towards the criteria will be evaluated and measured periodically by the college in the annual review process.

6.) Provide a description of the process the Sponsor (campus) will follow to select participating businesses. The description should identify the membership of any group or committee that may make recommendations, the final decision-maker, and the criteria that will be used to make decisions. This group or committee must include representation from faculty governance.

The criteria may include some or all of the following:

#### A. Academic and Research Alignment

- 1. Is the business in an industry aligned with current and/or developing University research, scholarly, and creative activity?
- 2. Does the business provide experiential learning and workforce opportunities (e.g., internships, fellowships, full-time jobs) for students and graduates?
- 3. Does the business provide areas for partnership and advancement for faculty and students?
- 4. Will the business provide access to research instrumentation, tools, and/or equipment necessary to advance the academic and research mission?
- 5. Will the business fund scholarships, campus facilities or other academic services or amenities?
- 6. Will the business and/or its employees contribute to instruction or provide student mentoring?
- 7. Does the business offer the use of company resources, intellectual property or expertise to support the academic mission?

#### B. Economic Benefit

- 1. How many net new jobs will be created?
- 2. Is the business viable in both the short- and long-term?
- 3. Will the business attract private financial investment?
- 4. Does the business plan to make capital investments (e.g., renovation, new construction)?
- 5. Are the new jobs in critical areas of the economy?
- 6. How will the University financially benefit from the terms of the lease?

#### C. Community Benefits

- 1. Does the business have the support of one or more municipal or community entities?
- 2. Is the business recruiting employees from the local workforce?
- 3. Does the business invest in underserved, economically distressed regions?
- 4. Will the business rely on suppliers within the local and regional economy?

*HVCC will use the following internal selection process to determine appropriateness and sponsorship of an interested business for participation in the StartUp NY program : <u>Step 1:</u> The President of Hudson Valley Community College will designate a StartUP NY Committee made up of appropriate representation from various departments including: Business Operations and Finance, Academic Vice President, Chief Development Officer, and the Vice President for Enrollment Management and Student Development, the Dean for Economic Development and Workforce Initiatives, the Director for Center for Careers and Transfers, a selected faculty member whose department would benefit from the business partnership, a student designee from the Student Senate, and business members of the community will be invited.* 

<u>Step 2:</u> Interested businesses will submit a completed business application to the College President. Within this document the business will detail how their company will benefit the college and the local community. Information included in this document will detail the number of positions expected in the first and subsequent years, how internship opportunities for students will be structured, and what benefits they will bring to the community.

<u>Step 3:</u> The business application and prospectus will be reviewed by the StartUp NY Committee.

1. The Committee will first determine if the type of business applicant is eligible for sponsorship.

2. Second, the committee will consider if the business applicant will align or further the academic mission of the university or college and be a good fit for existing college degree programs. The Committee will determine which program department chairs would most likely be aligned with the business and invite them to comment. To accomplish this, the Committee will look at whether or not the business will offer:

• Experiential learning, internships, and career opportunities for students.

• Scholarships or equipment donations to the college.

• Senior staff from the company is willing to serve on the appropriate department's Advisement Board.

3. Finally, the Committee will review the business application for overall economic benefits and value to the community. Upon positive answers to the evaluation stage gates, the committee will respond accordingly to recommend the business for sponsorship.

<u>Step 4:</u> A StartUp NY Committee designee will assure there are no known direct competitors to the business in the region. The steps to assure no competition include: search the NAICS Code indicated on the application for matching existing businesses an existing local business, contact regional economic development entities and Chambers of Commerce seeking their input, place an advertisement in local newspapers for any potential competition to the interested company.

*~If the business is deemed NOT eligible, by virtue of having a direct competitor, or for any other reason the Committee/designee will notify the business in a timely manner that* 

the college does not intend to sponsor them for the StartUp NY program. The College President will make the final determination if the business is deemed appropriate. HUDSON VALLEY COMMUNITY COLLEGE START-UP NY CAMPUS PLAN

## 1.) DEPARTMENT OF ECONOMIC DEVELOPMENT EXCEL SPREADSHEET TEMPLATE LISTING ALL DESIGNATED PROPERTIES

**SEE ATTACHEMENT** 

2.) COPY OF ANY OTHER APPLICABLE CONFLICT OF INTEREST POLICIES OR GUIDELINES

3.) EVIDENCE OF SUBMISSION OF TAX-FREE AREA PLAN TO INTERESTED PARTIES, I.E., COPIES OF CORRESPONDENCE SENT AND RECEIVED

Off-Campus														Within 1			
Location within a mile of:	UniqueID	Owner	Propert y Type	Street Address	City	Zip Code	Parcel ID	Building	Space Type	SqFt	Acres	Description	ON Campus	mile of Campus	Latitude	Longitude	Notes
HVCC Troy - Downtown	SU-315-2-B-T2195-000-U	Case	2	195 Cohoes Avenue	Green Island	12183	20.12-5-1.11	Case	G	28,800		28,800 sq. ft. of newly built manufacturing space in an industrial park	NO	NO*	42.45"25.73"N	73.41'31.91"W	1.37 miles seeks waiver
	SU-315-2-B-T2075-000-A	Ross	2	75 102 <sup>nd</sup> Street	Troy	12190	90.47-3-2	Ross	G	18,036		18,036 sq.ft. of vacant space in multiple open suites and some larger floor space designated for manufacturing in tech park	NO	NO*	42.45'20.04"N	73.40'40.59"W	1.42 miles seeks waiver
		David Bryce								2.165		2,165 square feet on the 1st floor of 32 Third street refurbished for general office, light industrial					waivei
	SU-315-2-B-T2032-001-A	Jr. David Bryce		32 Third Street			101.53-9-1	Quackenbush	G	,		6,278 square feet on the 2nd floor of 32 Third street refurbished for general office, light industrial, manufactuting	NO	YES	42.45'51.63"N		1,394 additional space on second
	SU-315-2-B-T2032-002-A	jr. David Bryce	2	32 Third Street	Troy	12180	101.53-9-1	Quackenbush	G	6,278		906 square feet on the 3rd floor of 32 Third Street, Suites 370, and 380 are refurbished for	NO	YES	42.45'51.63"N	73.41'24.17"W	floor
	SU-315-2-B-T2032-003-A	jr.	2	32 Third Street	Troy	12180	101.53-9-1	Quackenbush	G	906		computer companies or general office A total of <b>4,388</b> sq. ft.of newly refurbished space on the fourth floor suitable for general office,	NO	YES	42.45'51.63"N	73.41'24.17"W	
	SU-315-2-B-T2032-004-A	David Bryce jr.	2	32 Third Street	Troy	12180	101.53-9-1	Quackenbush	G	4,388		computer and software company use in six suites: 410, 420, 430, 460, 470 and 467	NO	YES	42.45'51.63"N	73.41'24.17"W	
	SU-315-2-B-T2500-004-A	David Bryce jr.	2	500 Federal Street	Troy	12180	101.45-2.1.1	Federal	G	4,035		4,035 office space recently renovated space on Fourth Floor in downtown district good for computer software, mixed use office space	NO	YES	42.44'1.4"N	73.41'16.3"W	
	SU-315-2-B-T2500-002-A	David Bryce	2	500 Federal Street	Troy	12190	101.45-2.1.1	Fodoral	G	3,371		3,371 office space recently renovated space on Second Floor in downtown district good for computer software, mixed use office space	NO	YES	42.44'1.4"N	73.41'16.3"W	
		David Bryce										Newly renovated office space on second floor of 6,168 square feet will make ideal space for computer/software companies in heart of downtown historic district					
	SU-315-2-B-T2005M-002-A	jr. David Bryce		5 Third Street				Market Block	G	6,168		5,643 square feet on third floor of newly renovated office space ideal for computer/software companies in heart of	NO	YES	42.73"21.87"	73.69"2.86"	
	SU-315-2-B-T2005M-003-A	jr.	2	5 Third Street	Troy	12180	101.45-9-1	Market Block	G	5,643		downtown histortic district	NO	YES	42.73"21.87"	73.69"2.86"	
	SU-315-2-B-T2002-002-A	David Bryce jr.	2	2 Third Street	Troy	12180	101.45-6.1	Frear Building	G	4,458		4,458 sq. ft of space on second floor to create one large suite in an historic 19th century building in the heart of the downtown area are bright with natural light, walkable for computer companies, and newly formed businesses.	NO	YES	73.41'22.0"W	73.41'22.0"W	4,458 NEW SQ.FT 2nd FLOOR
	SU-315-2-B-T2002-003-A	David Bryce jr.	2	2 Third Street	Troy	12180	101.45-6-1	Frear Building	G	3,772		3,722 sq. ft of space on third floor to create two suites on the third floor in an historic 19th century building in the heart of the downtown area are bright with natural light, walkable and availble for computer companies, and newly formed businesses.	NO	YES	42.43'56.0"N	73.41'22.0"W	
	SU-315-2-B-T2291-002-A	David Bryce ir.	2	291 River Street	Troy	12180	101.45-9-1		G	1,435		1,435 square feet in a newly renovated historic building in a very desirable sowntown space for computer or business offices	NO	YES	42.43'55.65"N	73.41'24.3"W	
	SU-315-2-B-T2035-001-A	David Bryce, jr.		35 Fourth Street	Troy		101.45-3-2	Uncle Sam Transit Center	G	3,500		3,500 square feet of first floor space could be used as office/ distribution center near parkng in prime downtown area	NO	YES	42.43'50.5"N	73.41'19.1"W	
	SU-315-2-B-T2274-001-A	Dylan Turek		274 2nd Street			100.84-7-14		G	8,482		Urban revitalization of <b>8,482</b> square feet of vacant renovated space on first floor renovated for light manufacturing and office space	NO	NO*		73.41'37.04"W	1.4 miles seeks waiver space changes

Off-Campus Location within a mile of:	UniqueID	Owner	Propert y Type	Street Address	City	Zip Code	Parcel ID	Building	Space Type	SqFt	Acres	Description	ON Campus	Within 1 mile of Campus	Latitude	Longitude	Notes
	SU-315-2-B-T2274-002-A	Dylan Turek	2	274 2nd Street	Troy	12180	100.84-7-14		G	5,076		Urban revitalization of <b>5,076</b> square feet of vacant renovated space on second floor renovated for light manufacturing and office space	NO	NO*	42.43'15.14"N	73.41'37.04"W	1.4 miles seeks waiver space modifications
	SU-315-2-B-T2275-001-A	Dylan Turek		275 Fourth Street	Troy	12180	101.77-8-5		G	990		iconic vacant bar part of urban revitalization and renovation transformed <b>990</b> square feet for startup computer companies and offices	NO	NO*	42.43'21.68"N	73.41'28.46'W	
	SU-315-2-B-T2225-002-A	225 River Street, LLC	2	225 River Street Second Floor	Troy	12180	100.6-1-3	Sentinel Building	G	1,300		Second floor historic renovation in urban downtown consisiting of <b>1,300</b> square feet for office, mixed use	NO	YES	42.43'53.26"N	73.41'35.33"W	
	SU-315-2-B-T2225-003-A	225 River Street, LLC		225 River Street Third Floor	Troy	12180	100.6-1-3	Sentinel Building	G	1,300		Third floor historic renovation in urban downtown consisiting of <b>1,300 s</b> quare feet for office, mixed use	NO	YES	42.43'53.26"N	73.41'35.33"W	
		Judge Developmen t Corp.		251 River Street Fifth Floor	Troy	12180	101.45-5-4.2	Burdett	G	4,924		Entire 5th floor <b>4,924</b> sq. ft renovated historic space in downtown urban area for office, computer company use	NO	YES	42.43'54.40"N	73.41'31.03'W	

Off-Campus Location within a mile of:	UniqueID	Owner	Propert	Street Address	City	Zip Code	Parcel ID	Building	Space Type	SqFt	Acres	Description	ON	Within 1 mile of Campus	Latitude	Longitude	Notes
nine or.	OniqueiD	Judge	у Туре	255 River	City	Zip Code	Parcerib	Bullullig	space type	эцгі	Acres		Campus	Campus	Latitude	Longitude	Notes
		Developmen		Street First								First floor and mezzanine of historic renovation in urban downtwon consisiting of <b>5,987</b> square feet					
	SU-315-2-B-T2255-001-A	t Corp.	2	Floor	Troy	12180	101.45-5-6	McCarthy	G	5987		for office, mixed use	NO	YES	42.43'54.53"N	73.41'28.88"W	
		Judge Developmen		255 River Street Second								Second floor historic renovation in urban					
	SU-315-2-B-T2255-002-A	t Corp.	2	Floor	Troy	12180	101.45-5-6	McCarthy	G	4,901		downtown consisiting of 4,901 squart feet for office, mixed use	NO	YES	42.43'54.53"N	73.41'28.88"W	
	50 515 E 5 12255 662 A	( 00/p.	-	1.001		12100	101.10 0 0	incearcity	Ŭ	,,,,,,,,				120	12110 0 1100 11	75112 20100 11	
												Renovated second floor office in the heart of					
		Kyle		403 Fulton								historical downtown district with multiple suites					
	SU-315-2-B-T2403-002-A	Engstrom	2	Street	Troy	12180	101.45-7-1		G	3,065		for computer/software conmpanies. <b>3,065</b> sq.ft. trendy space with natural light	NO	YES	42.43'56.7"N	73.41'19"W	
					,				-	0,000							
												Renovated third floor office in the heart of historical downtown district with multiple suites					
		Kyle		403 Fulton								for computer/software conmpanies. 3,050 sq.ft.					
	SU-315-2-B-T2403-003-A	Engstrom	2	Street	Troy	12180	101.45-7-1		G	3,050		trendy space with natural light	NO	YES	42.43'56.7"N	73.41'19"W	
												970 square feet of newly renovated space in and					
		Berkshire										iconic 19th Century architectural builing in urban center. Several office configurations good for					
	SU-315-2-B-T2005-001-A	Hathaway	2	5 First Street	Troy	12180	100.60-2-1	Rice	G	970		computer or software companies.	NO	YES	42.73'9.08"N	73.69'35.63"W	
												2,647 sq. ft. of newly renovated space in an iconic					
												19th Century architectural builing in urban					
	SUL 215 2 B T2005 002 A	Berkshire	2	E Einst Chus at	T	12100	100 00 0 1	0.11	G	2,647		center. Several office configurations good for	NO	VEC	42 7210 00/101		
	SU-315-2-B-T2005-002-A	Hathaway	2	5 First Street	Troy	12180	100.60-2-1	Rice	G	2,647		computer or software companies. 4,067 square feet of newly renovated iconic 19th	NO	YES	42.73'9.08"N	73.69'35.63"W	-
		Daulashina										Century architectural builing in urban center.					
	SU-315-2-B-T2005-003-A	Berkshire Hathaway	2	5 First Street	Trov	12180	100.60-2-1	Rice	G	4,067		Several office configurations good for computer or software companies.	NO	YES	42.73'9.08"N	73.69'35.63"W	
	50 515 2 B 12005 005 A	nathaway	-	511150 50 600	iioy	12100	100.00 2 1	hite	G	4,007		3,863 square feet of newly renovated iconic 19th	NO	125	42.75 5.00 N	73.05 33.05 W	
		Berkshire										Century architectural builing in urban center.					
	SU-315-2-B-T2005-004-A	Hathaway	2	5 First Street	Troy	12180	100.60-2-1	Rice	G	3,863		Several office configurations good for computer or software companies.	NO	YES	42.73'9.08"N	73.69'35.63"W	
										,							
								Center for				2,772 square feet first floor renovated classroom space in vacant St. Paul's Church School coverted					
		12th Street		2247 13th				Purposeful				to studio/office space for small start-up computer					
	SU-315-2-B-T2247-001-A	Ventures	2	Street	Troy	12180	101.39-9-1	Creation Studios	G	2,772		and artistic design companies	NO	YES	42.44'11.99"N	73.40'39.54W	
								Center for				2,772 square feet first floor renovated classroom					
		12th Street		2247 13th				Purposeful				space in vacant St. Paul's Church School coverted to studio/office space for small start-up computer					
	SU-315-2-B-T2247-002-A	Ventures	2	Street	Troy	12180	101.39-9-1	Creation Studios	G	2,772		and artistic design companies	NO	YES	42.44'11.99"N	73.40'39.54W	
												A total of 7 400 square fact is a upport '					
					с. н. н.			1				A total of <b>7,400</b> square feet in a vacant print shop is being converted to manufacturing space.					
Troy-Main		Hamilton Industrial		22 Hamilton	Castleton- on-			Hamilton				Designating 3,400 sq. ft. of first floor offices and					11.5 miles seeks
	SU-315-2-B-T1022-000-U	Corporation	2	Way	Hudson	12033	198-4-1.11	Building	G	7,400		4,000 sq. ft. second floor mezzenene office space for TFA.	NO	NO*	42.32'21.36"N	73.44'59.26"W	
			İ							,			-				1
												20,110 square feet in a series of suites on first floor, and one on the first and second floor of a					New space in
Malta-Extension												newer mixed use building in technology park next					immediate
Campus		United STEP		107 Hermes								door to HVCC Extension Campus and in close					proximity to HVCC Extension Tech
	SU-315-2-B-M107-001-A	I, LLC	2	Road	Malta	12020	2301.14.11-1		G	20,110		proximity to Semiconductor Fab. Ideal for relocating or growing technology company	NO	YES	42.96'84"N	73.76'95"	Campus
		İ	İ							, -							
												11,194 in a series of suites on second floor of a					New space in immediate
								1				newer office space in technology park next door to HVCC Extension Campus and in close proximity					proximity to HVCC
		United STEP	_	107 Hermes								to Semiconductor Fab. Ideal for relocating or					Extension Tech
	SU-315-2-B-M107-002-A	I, LLC	2	Road	Malta	12020	2301.14.11-1		G	11,194		growing technology company	NO	YES	42.96'84"N	73.76'95"	Campus
			OFF	CAMPUS	1				TOTAL	187,825							

Off-Campus Location within a mile of:	UniqueID	Owner	Propert y Type	Street Address	City	Zip Code	Parcel ID	Building	Space Type	SqFt	Acres	Description	ON Campus	Within 1 mile of Campus	Latitude	Longitude	Notes
HVCC Affiliation Agreements creating "On- Campus" space	SU-315-1-B-T2019-002-A	RCIDA	1	19 Third Street 2nd Floor	Тгоу	12180		Old Masonic Hall	В	7057		7,057 newly renovated space on the second floor of a historical masonic hall for division is avaialbe in its entirty or dividing into smaller suites. Space is centrally located in a software and gaming hub for budding computer programming companies or general office space	YES	n/a	42.43'54.22"	73.41'25.06"	NEW Space added to Affiliation Agreement RCIDA
	SU-315-1-L-T2005-00A-A	RCIDA	1	5 East Industrial	Тгоу	12180			D		4.3	PLOT A is 4.30 acres vacant land adjacent to the Hudson River available for development zoned industrial. Shovel-ready with access to electric, sewer and city water. FOR LEASE through MOA with IDA	YES	n/a	42.42'39.72"N		Affiliation Agreement RCIDA
	SU-315-1-L-T2005-00B-A	RCIDA		5 East Industrial Parkway	Тгоу	12180	111.44-1-1		D		6.95	PLOT B is 6.95 acres vacant land adjacent to the Hudson River available for development zoned industrial. Space can be subdivided into smaller plots, shovel-ready with access to electric, sewer and city water. FOR LEASE through MOA with IDA	YES	n/a	42.42'39.72"N	73.41'57.88"W	Affiliation Agreement RCIDA
	SU-315-1-B-T11477-000-A	SCHODACK SCHOOL DISTRICT through Schodack Wildcat Foundation, Inc.		1477 South Schodack Road	Castleton			Maple Hill Middle School	C	6500		6,500 Vacant space within Maple Hill Middle School with concrete floor and walls partially below grade with two overhead garage doors. 10° ceilings, access to water, shared conference room and off street parking. Classrooms can be subdivided for incubator space for small start-up companies. For lease with Schodack Wildcat Foundation	YES	n/a	42.31'16.58"N		Affiliation Agreement with Schodack Wildcat Foundaton - Schodack Middle School Basement
								ON-CAMPUS			11.25	TFA Designated ON CAMPUS space					
* 1= on campus				-						building on thin building	campus		م النبيد *	eek waiver fr	rom ESD		
2= 1 mile off campus										ithin building			-WIII 5		IOIII ESD		
3= State Asset									D=land on	ě						1	
S State Asset						L			E= land off								
				1			-	-		uilding off cam	ายนร				1	1	†
				1						ouilding off car					1	1	
									H=state as	-					1	1	
Designated Land or B	uildings Unique ID Standard																
LL - ### - # - X - YYY)				ļ													
	INY, CU for CUNY and PV for Privates																
### is the State University Construction Fund's 3-digit code for the sponsoring campus. See the campus key on page 2.																	
# – is a 1 for on campus and a 2 for off-campus																╂─────┤	
X – is L, B or C for Land, Building or Combination     YYYYY is the PSI building number or for land a campus assigned number																	
		-		l within a single bui	Iding each w	ould get a	unique identifier									<u> </u>	├
· A – Indicates A-	ZZZ is an additional number, generally 000 but where there are several units within a single building each would get a unique identifier. A – Indicates A- if the property/space is currently available for a new business, U - is unavailable (for any reason other than 3), or I - is currently in use y a StartUp NY approved business											STARTURNY					

- 3. *Procedures For Addressing The Conflict Of Interest* an interested person may make a presentation at the Board or committee meeting, but after such presentation, he/she shall leave the meeting during the discussion of, and the vote on, the transaction or arrangement that results in the conflict of interest.
  - a. The chairperson of the Board or committee shall, if appropriate, appoint a disinterested person or committee to investigate alternatives to the proposed transaction or arrangement.
  - b. After exercising due diligence, the Board or committee shall determine whether the Foundation can obtain a more advantageous transaction or arrangement with reasonable efforts from a person or entity that would not give rise to a conflict of interest.
  - c. If a more advantageous transaction or arrangement is not reasonably attainable under circumstances that would not give rise to a conflict of interest, the Board or committee shall determine by a majority vote of the disinterested directors whether the transaction or arrangement is in the Foundation's best interest and for its own benefit and whether the transaction is fair and reasonable to the Foundation and shall make its decision as to whether to enter into the transaction or arrangement in conformity with such determination.
- 4. Violations Of The Conflicts Of Interest Policy
  - a. If the Board or committee has reasonable cause to believe that member has failed to disclose actual or possible conflicts of interest, it shall inform the member of the basis for such belief and afford the member an opportunity to explain the alleged failure to disclose.
  - b. If, after hearing the response of the member and making such further investigation as may be warranted in the circumstances, the board or committee determines that the member has in fact failed to disclose an actual or possible conflict of interest, it shall take appropriate disciplinary and corrective action.

#### **ARTICLE IV - RECORDS OF PROCEEDINGS**

The minutes of the Board and all committees with Board-delegated powers shall contain:

- 1. The names of the individuals who disclosed or otherwise were found to have a financial interest in connection with an actual or possible conflict of interest, the nature of the financial interest, any action taken to determine whether a conflict of interest was present, and the Board's or committee's decision as to whether a conflict of interest in fact existed.
- 2. The names of the individuals who were present for discussions and votes relating to the transaction or arrangement, the content of the discussion, including any alternatives to the proposed transaction or arrangement, and a record of any votes taken in connection therewith.

#### ARTICLE V- ANNUAL STATEMENTS

Each director, principal officer and member of a committee with Board-delegated powers shall annually sign a statement which affirms that such person:

- 1. has received a copy of the Conflict of Interest Policy,
- 2. has read and understands the policy,
- 3. has agreed to comply with the policy, and
- 4. understands that the Foundation is charitable organization and that in order to maintain its federal tax exemption it must engage primarily in activities which accomplish one or more of its tax-exempt purposes.

#### ARTICLE VI - PERIODIC REVIEWS

To ensure that the Corporation operates in a manner consistent with its charitable purposes and that it does not engage in activities that could jeopardize its status as an organization exempt from federal income tax, periodic reviews shall be conducted. The periodic reviews shall, at a minimum, include the following subjects:

- 1. Whether compensation arrangements and benefits are reasonable and are the result of arm's-length bargaining.
- 2. Whether partnership and joint venture arrangements and arrangements with management service organizations and physician hospital organizations conform to written policies, are properly recorded, reflect reasonable payments for goods and services, further the Corporation's charitable purposes and do not result in inurement or impermissible private benefit.
- 3. Whether agreements to provide health care and agreements with other health care providers, employees, and third party payors further the Corporation's charitable purposes and do not result in inurement or impermissible private benefit.

#### ARTICLE VIII - USE OF OUTSIDE EXPERTS

In conducting the periodic reviews provided for the Article VII, the Corporation may, but need not, use outside advisors. If outside experts are used their use shall not relieve the board of its responsibility for ensuring that periodic reviews are conducted.

#### Annual Statement of the Board of Directors of The Foundation

Each of the undersigned members of the Board of Directors of the Foundation states for himself or herself that he or she:

- 1. has received a copy of the conflicts of interest policy,
- 2. has read and understands the policy,
- 3. has agreed to comply with the policy, and
- 4. understands that The Foundation is a charitable organization and that in order to maintain its federal tax exemption it must engage primarily in activities which accomplish one or more of its tax-exempt purposes.

Name

Date

### BYLAWS AND POLICY MANUAL OF THE HUDSON VALLEY COMMUNITY COLLEGE BOARD OF TRUSTEES

APPENDIX A HUDSON VALLEY COMMUNITY COLLEGE **BOARD OF TRUSTEES Code of Ethics** 

Enacted by Resolution, October 25, 2011 and amended December 18, 2012

#### Article I: Purpose

The purpose of the Code of Ethics is (i) to protect the College's interest; (ii) to provide guidance to the Trustees and Officers of the Board exercising their fiduciary duties in the management of the College and its affairs, (iii) to provide a procedure for disclosure of potential conflicts of interest, and (iv) to establish standards of conduct. This policy is intended to supplement but not replace any applicable state laws governing conflicts of interest applicable to the Trustees of Community Colleges.

#### **Article II: Definitions**

"Acceptable Reasons" shall include only medical issues/illness, family emergencies, or other circumstances deemed extraordinary by the Board.

"Attend or Attendance" shall mean the presence throughout the meeting in person or by videoconferencing. While videoconferencing is an acceptable means of attendance, Board members are strongly encouraged to attend all meetings in person whenever possible.

"Conflict of Interest" shall mean that a Trustee or Officer of the Board has existing or potential financial or other interests which impair or might reasonably appear to impair such Trustee's or Officer's independent, unbiased judgment in the discharge of his or her responsibilities to the College, or such Trustee or Officer of the Board is aware that a member of his or her family, which for purposes of this Code of Ethics shall be a spouse, parents, siblings, children, and any other relative or person who resides in the same household as the Trustee or Officer of the Board, or any organization in which such Trustee or Officer of the Board (or member of his or her family) is an officer, director, employee, member, partner, trustee, or controlling stockholder, has such existing or potential financial or other interest. [HVCC Code of Ethics for Employees ("Employee Code") §6.291]

"Fiscal Year" shall mean each year commencing on September 1 and ending the following August 31.

"Prohibited Activity" shall mean and include:

- No Trustee or Officer of the Board shall accept other employment which will impair his or her independence of judgment in the exercise of his or her official duties. [Public Officers Law ("POL")§74 3(a)]
- 2. No Trustee or Officer of the Board shall accept employment or engage in any business or professional activity which will require the Trustee or Officer of the Board to disclose confidential information which he or she has gained by reason of his official position or authority. [POL §74 3(b)] [Employee Code]
- 3. No Trustee or Officer of the Board shall disclose confidential information acquired by him or her in the course of official duties nor use such information to further his or her personal interests. [POL §74 3(c)] [GML §805-a (b)] [Employee Code]
- 4. No Trustee or Officer of the Board shall use or attempt to use his or her official position to secure unwarranted privileges or exemptions for himself or herself or others, including but not limited to, the misappropriation to himself, herself or to others of the property, services or other resources of the College for private business or other compensated nongovernmental purposes. [POL §74 3(d)] [Employee Code]
- 5. No Trustee or Officer of the Board shall engage in any transaction as representative or agent of the College with any business entity in which he or she has a direct or indirect financial interest that might reasonably tend to conflict with the proper discharge of official duties. [POL §73 3(e) [Employee Code]
- 6. No Trustee or Officer of the Board shall by his or her conduct give reasonable basis for the impression that any person can improperly influence the Trustee or Officer of the Board or unduly enjoy his or her favor in the performance of official duties, or that he or she is affected by the kinship, rank, position or influence of any party or person. [POL §74 3(f)] [Employee Code]
- 7. A Trustee or Officer of the Board shall abstain from making personal investments in enterprises which he or she has reason to believe may be directly involved in decisions to be made by the Trustee or which will otherwise create substantial conflict between the Trustee's or Officer of the Board's duty in the public interest and his private interest. [POL §74 3(g)] [Employee Code]
- 8. A Trustee or Officer of the Board shall endeavor to pursue a course of conduct which will not raise suspicion among the public that he or she is likely to be engaged in acts that are in violation of trust. [POL §74 3(h)]
- 9. No Trustee or Officer of the Board shall directly or indirectly solicit any gift, or accept or receive any gift having a value of seventy-five dollars or more, whether in the form of money, service, loan travel, entertainment, hospitality, thing or promise, or in any other form, under circumstances in which it could reasonably be expected to influence him or her in the performance of official duties, or was intended as a reward for any official action on his or her part. [GML §805-a(1) (a)]
- 34 HUDSON VALLEY COMMUNITY COLLEGE BOARD OF TRUSTEES

- 10. No Trustee or Officer of the Board shall receive or enter into any agreement, express or implied, for compensation for services to be rendered in relation to any matter before the Board of Trustees or the College. [GML §805-a(1) (c)]
- 11. No Trustee or Officer of the Board shall receive or enter into any agreement, express or implied, for compensation for services to be rendered in relation to any matter before the Board of Trustees or the College whereby the Trustee's or Officer of the Board's compensation is to be dependent or contingent upon any action with respect to such matter. [GML §805-a(1) (d)]
- 12. No Trustee or Officer of the Board shall allow his or her outside position or profession to influence or interfere with such officer's fiduciary obligations to the College.

#### Article III: Attendance

- A. A Trustee shall attend in person or by videoconferencing at least seventy-five percent (75%) of the regular and special meetings of the Board each fiscal year. If there are only eleven (11) regular meetings, each member shall attend at least 8. A member who intends to attend by videoconferencing shall notify the Assistant Secretary at least seven (7) days prior to the meeting to allow arrangements to be made to comply with Article 7 of the POL. The Assistant Secretary shall keep an annual attendance record for each Trustee. If a member will be unable to attend a meeting, he or she shall notify the Assistant Secretary that he or she will be unable and the reason for such unavailability as soon as possible. The Secretary shall note the reason for unavailability in the attendance record.
- B. The Chair of the Board of Trustees, Secretary or Assistant Secretary of the Board of Trustees shall notify the Appointing Authority in September of each year of the name of any Trustees who have not attended at least seventy-five percent (75%) of the regular and special meetings during the preceding Fiscal Year, and the reasons therefore if the Trustee provided Acceptable Reasons.

#### Article IV: Standard of Conduct and Procedures

- A. No Trustee or Officer of the Board shall engage in Prohibited Activity.
- B. Any Trustee or Officer of the Board who has, will have, or later acquires an interest in any actual or proposed contract with the College shall publicly disclose the nature and extent of such interest in writing to the Board of Trustees as soon as he or she has knowledge of such actual or prospective interest. Such written disclosure shall be made part of and be set forth in the official record of the proceedings of The Board of Trustees. Once a disclosure has been made with respect to an interest in a contract with a particular person, firm, corporation or association, no further disclosures need be made by such Trustee or Officer of the Board with respect to additional contracts with the same party during the remainder of the fiscal year. [GML §803(i)]
- C. After disclosure of a Conflict of Interest and all material facts, the Trustee or Officer of the Board shall leave the meeting and the Trustees shall determine by a vote of those present

whether a Conflict of Interest exists. If the Board of Trustees determines that a Conflict of Interest exists, it shall approve the transaction or arrangement if after any further investigation, it appears that the transaction or arrangement is in the best interests of the College. The interested Trustee or Officer of the Board shall not be present during the discussion nor participate in the vote.

- D. Penalties
- 1. Any Trustee or Officer of the Board who willfully and knowingly violates the provisions of this Code of Ethics shall be subject to the penalties described in GML §805, where applicable.
- 2. The President shall notify the Chairman of the Board (or Vice Chair) if he or she believes that a Trustee or Officer of the Board has violated the provisions of this Code of Ethics. The Board of Trustees shall notify any Trustee or Officer of the Board it believes has violated the provisions of this Code of Ethics. The notification shall specify the section of the Code of Ethics alleged to have been violated and the facts and circumstances surrounding such allegations. The Trustee or Officer of the Board shall have an opportunity to present information, including documents and written and other oral statements to refute the allegations. The Trustees shall then determine by a majority vote whether the Board believes the violation occurred and may ask for the resignation of such Trustee or Officer of the Board, and such other relief as it may deem appropriate under the circumstances.

A STY OF THE STY OF TH	Policy Title: START-UP NY Program Participation Policy Document Number: 6800
Category: Academic Affairs Community Colleges Legal and Compliance Research	Effective Date: February 10, 2014 This policy item applies to: Community Colleges State-Operated Campuses
Responsible Office: Academic Affairs	

#### Table of Contents\_

Summary Policy Definitions Other Related Information Procedures Forms Authority History Appendices

#### Summary

START-UP NY is a state economic development program that positions SUNY campuses as magnets for entrepreneurs and businesses from around the globe. START-UP NY aligns with SUNY's mission of teaching, research and public service; enabling engagement with industry, knowledge acceleration, translation of research into practical applications, and delivering the 21<sup>st</sup> century workforce businesses need to grow and thrive. START-UP NY will transform university communities to deliver unprecedented economic benefits to New York. To participate in the program, all campuses must comply with this policy and any applicable rules and regulations issued by the NYS Commissioner of Economic Development.

This policy governs the review process that all participating campuses must follow to secure SUNY's approval of the plans, applications, and other documents required by the NYS Commissioner of Economic Development to participate in the START-UP NY program. It also prescribes special requirements for the disclosure and management of actual or potential conflicts of interest in matters pertaining to the campus' START-UP NY program. Any conflict between this policy and any other applicable Conflict of Interest policy shall be resolved in favor of disclosure of any potential, actual, or perceived conflict of interest relating to the campus' START-UP NY program to the President or Chief Executive Officer of the sponsoring campus.

#### Policy

A. **Campus Plans for Designation of Tax-Free Area(s):** Any campus intending to submit a Campus Plan for Designation of Tax-Free Area(s) ("Campus Plan") to the NYS Commissioner of Economic Development must first have it reviewed and approved by the Chancellor or designee. The Chancellor or designee shall approve or reject all Campus Plans within fifteen (15) business days of receipt. Any rejected Campus Plan shall be accompanied by an explanation of the basis for rejection. Once approved by the Chancellor or designee a campus may submit its Campus Plan to the NYS Commissioner of Economic Development in accordance with the Commissioner's rules and regulations. Any Campus Plan that is rejected can be resubmitted for Chancellor

or designee approval and will be reviewed in accordance with this policy and related procedures. Any amendments to approved Campus Plans must be submitted for Chancellor or designee approval and will be reviewed in accordance with this policy and related procedures.

- B. **Sponsoring University or College Applications for Business Participation:** Any campus intending to submit a Sponsoring University or College Application for Business Participation ("Sponsor Application") to the NYS Commissioner of Economic Development must first have it reviewed and approved by the Chancellor or designee. The Chancellor or designee shall approve or reject all Sponsor Applications within thirty (30) business days of receipt. Any rejected Sponsor Application shall be accompanied by an explanation of the basis for rejection. Once approved the campus may submit the Sponsor Applications. Any Sponsor of Economic Development in accordance with the Commissioner's rules and regulations. Any Sponsor Application that is rejected can be resubmitted for Chancellor or designee approval and will be reviewed in accordance with this policy and related procedures. Any amendments to approved Sponsor Applications must be submitted for Chancellor or designee approval and will be reviewed in accordance with this policy and related procedures.
- C. **Delegations:** The Chancellor or designee may charge a group of individuals, collectively called the SUNY START-UP NY Proposal Review Team, to evaluate all submitted Campus Plans and Sponsor Applications prior to accepting or rejecting them.
- D. Conflicts of Interest: Service as an Official shall not be used as a means for private benefit or inurement for any Official, a Relative thereof, or any entity in which the Official or Relative thereof has a Business Interest. A conflict of interest exists whenever an Official has a Business Interest or other interest or activity outside of the university that has the possibility, whether potential, actual, or perceived, of (a) compromising the Official's judgment, (b) influencing the Official's decision or behavior with respect to the START-UP NY Program, or (c) resulting in personal or a Relative's gain or advancement. Any Official who is an owner or employee of an entity that is the subject of any matter pertaining to the university's START-UP NY Program, or who has a Business Interest in any entity that is the subject of any matter pertaining to the university's START-UP NY Program, or whose Relative has such a Business Interest, shall not vote on or otherwise participate in the administration by the university of any START-UP NY matter involving such entity. Any Official or other campus representative who becomes aware of a potential, actual or apparent conflict of interest, either their own or that of another Official, related to a sponsoring university or college's START-UP NY program must disclose that interest to the President or Chief Executive Officer of the sponsoring college or university. Each such President or Chief Executive Officer shall maintain a written record of all disclosures of actual or potential conflicts of interest made pursuant to this policy, and shall report such disclosures on a calendar year basis, by January 39t of each year, to the University Auditor or to the Chancellor's designee, in which case the University Auditor shall be copied on the correspondence to such designee. SUNY shall then forward such reports to the Commissioner of Economic Development for the State of New York, who shall make public such reports.
- E. Exceptions: There are no exceptions to this policy.

#### Definitions

**Business Interest** means that an individual (1) owns or controls 10% or more of the stock of an entity (or 1% in the case of an entity the stock of which is regularly traded on an established securities exchange); or (2) serves as an officer, director or partner of an entity.

**Official** means an employee at the level of dean and above as well as any other person with decision-making authority over a campus' START-UP NY Program, including any member of any panel or committee that recommends businesses for acceptance into the START-UP NY program.

**Relative** means any person living in the same household as another individual and any person who is a direct descendant of that individual's grandparents or the spouse of such descendant.

**Sponsoring College or University** means any entity defined or described in NYS Education Law Sec. 352 and Article 126.

**START-UP NY Program** means the SUNY Tax-free Areas to Revitalize and Transform Upstate New York Program established by Article 21 of the Economic Development Law.

Tax-Free NY Area means vacant land or space designated by the Commissioner of Economic Development Article

21 of the Economic Development Law that is eligible to receive benefits under the START-UP NY program.

#### **Other Related Information**

<u>Start-Up NY Regulations</u>; available at the <u>Start-Up NY Website</u>.

At least thirty days before submitting the Campus Plan to the Commissioner of Economic Development the campus must provide a copy of the Plan to the chief executive officer of the municipality or municipalities in which the proposed Tax-free NY Area is located, local economic development entities, the applicable university or college faculty senate, union representatives and the campus student government. The campus shall include in their submission to the Commissioner of Economic Development certification of such notification, as well as a copy of any written response from chief executive officer of the municipality or municipalities in which the proposed Tax-free NY Area is located, local economic development entities, the applicable campus or college faculty senate, union representatives and the campus student government.

StartUp-NY.gov website and program information.

#### Procedures

START-UP NY Program Participation, Procedures for

#### Forms

SUNY START-UP NY Campus Plan for Designation of Tax-Free Area(s) Memorandum

SUNY START-UP NY Campus Plan for Designation of Tax-Free Area(s) Template

START-UP NY Sponsoring University or College Application for Business Participation Memorandum

ESD START-UP NY Sponsoring University or College Application for Business Participation

ESD START-UP NY Business Application Instructions

ESD START-UP Business Application

#### Authority

State University of New York Board of Trustees Resolution 14-(\_\_), START-UP New York Program Administration. adopted January 14, 2014

Law, New York Economic Development Law Article 21 (Start-Up NY Program)

Start-Up NY Regulations

#### History

Enacted into law in June 2013, START-UP NY is a groundbreaking new initiative from Governor Andrew M. Cuomo that provides major incentives for businesses to relocate, start up or significantly expand in New York State through affiliations with public and private universities, colleges and community colleges. Businesses will have the opportunity to operate state and local tax-free on or near academic campuses, and their employees will pay no state or local personal income taxes.

### Appendices

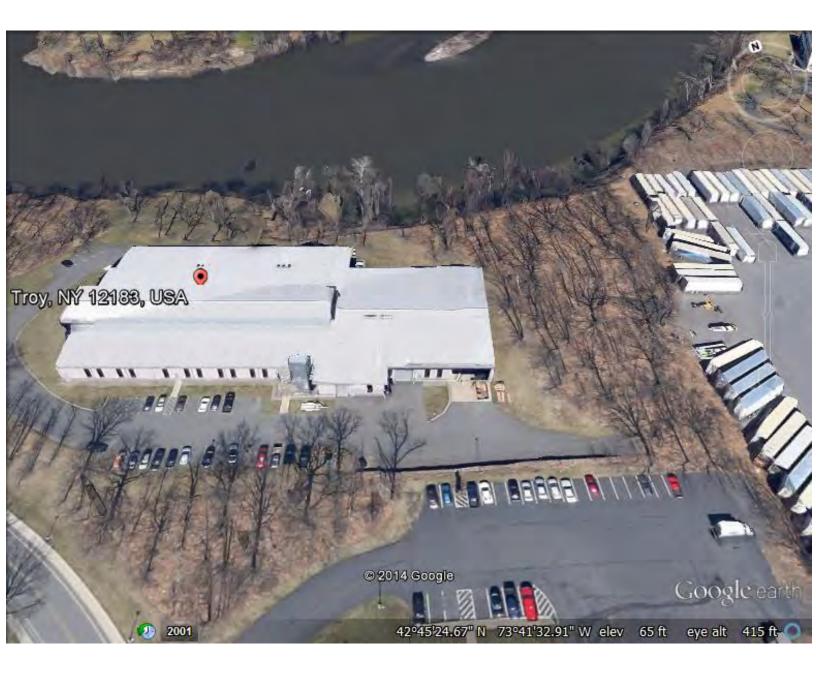
There are no appendices relevant to this policy.

**HVCC** 

## StartUp NY Unique ID SU-315-2-B-T2195-000-U



195 Cohoes Avenue Green Island, NY 12183



**Total Square Feet 28,800** 

# BRADE BERE NILLAN

BA

Start-Up NY Unique ID SU-315-2-B-T2195-0

N

Google earth

9/18/2014

195 Cohoes Avenue Green Island NY

© 2014 Google

# TOTAL SQUARE FEET 28,800

/CC Downtown Tro

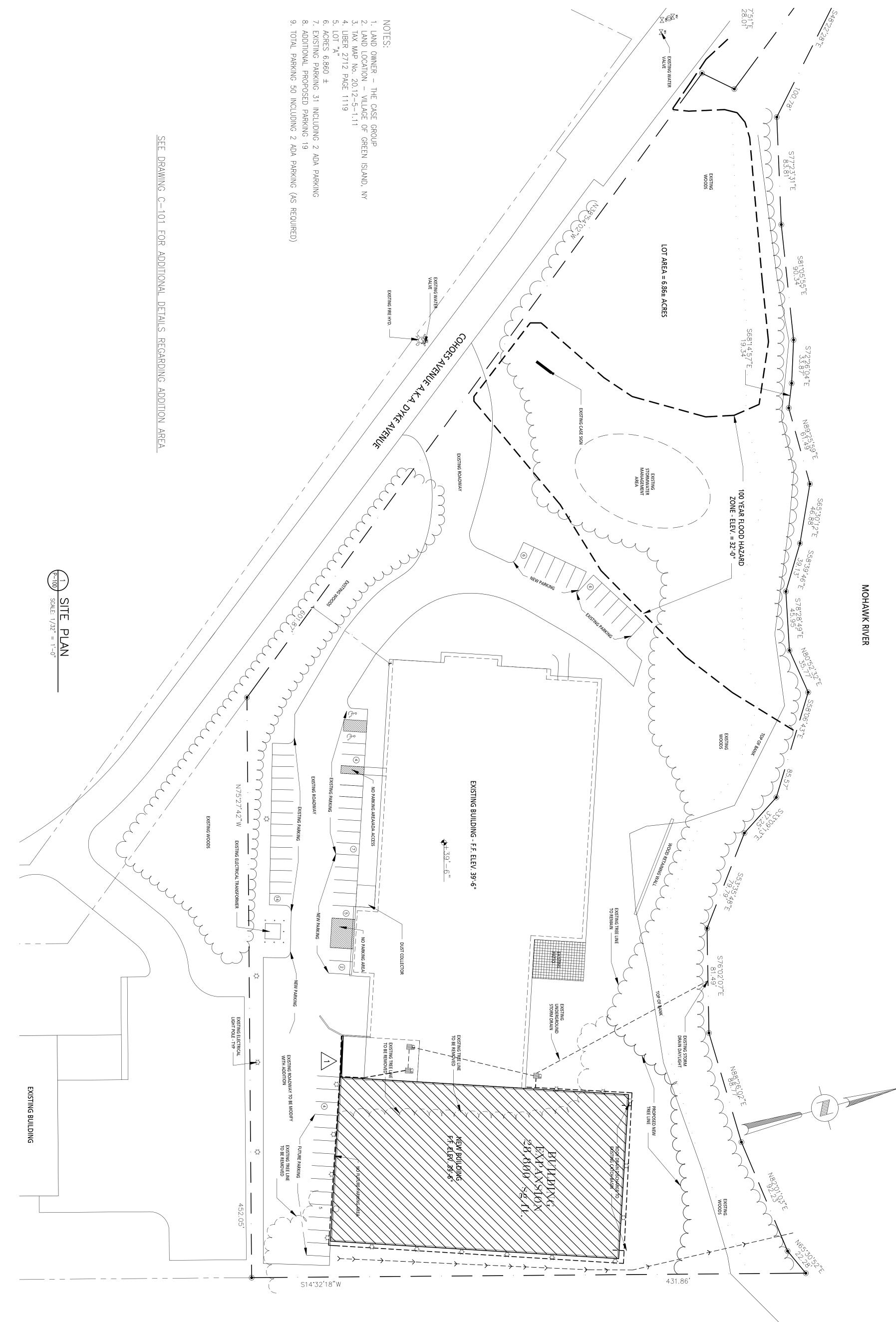
Ö

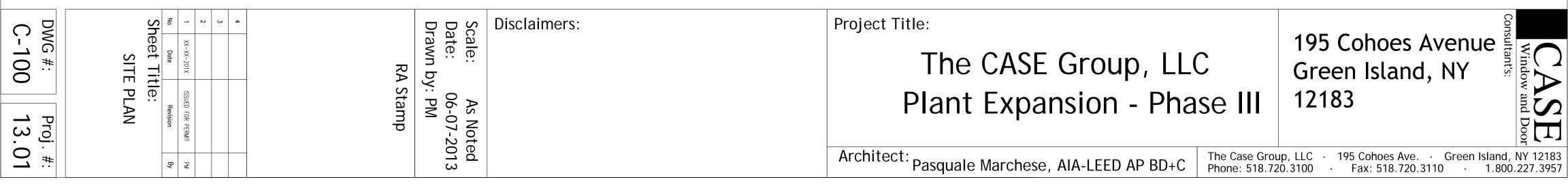
----

roy, NY 12188, USA

11 11

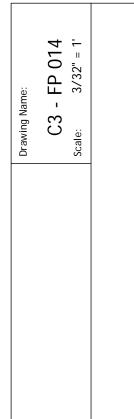
42°45'24.67" N 73°41'32.91" W elev 65 ft eye alt 415 ft

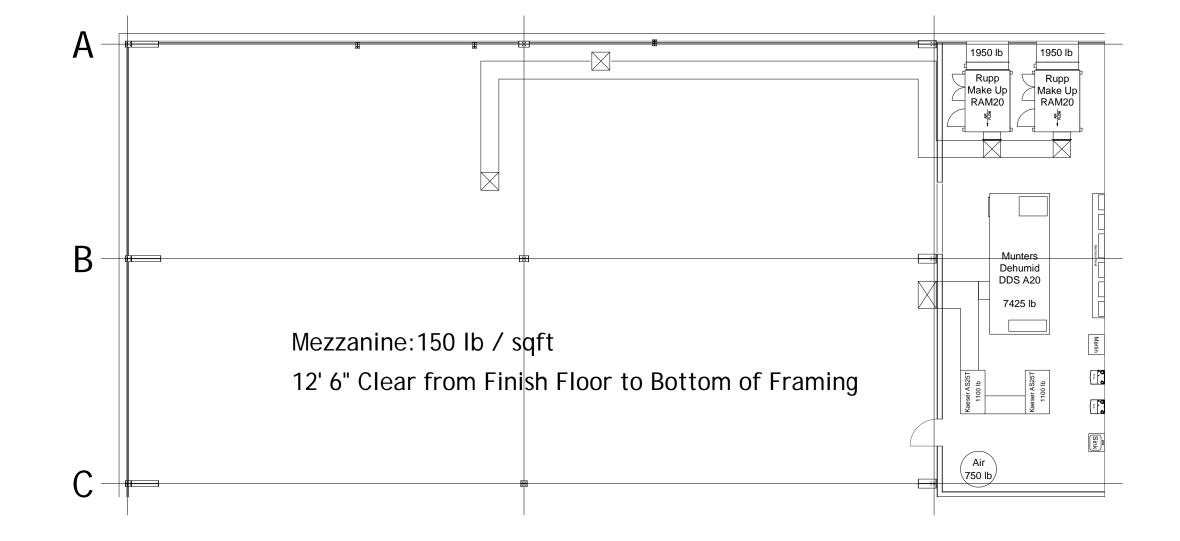


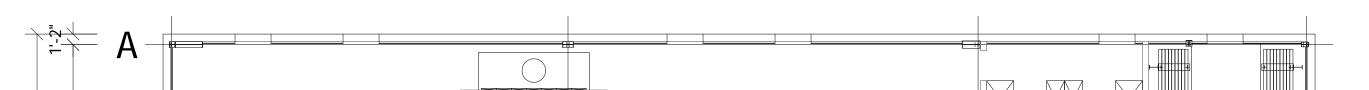


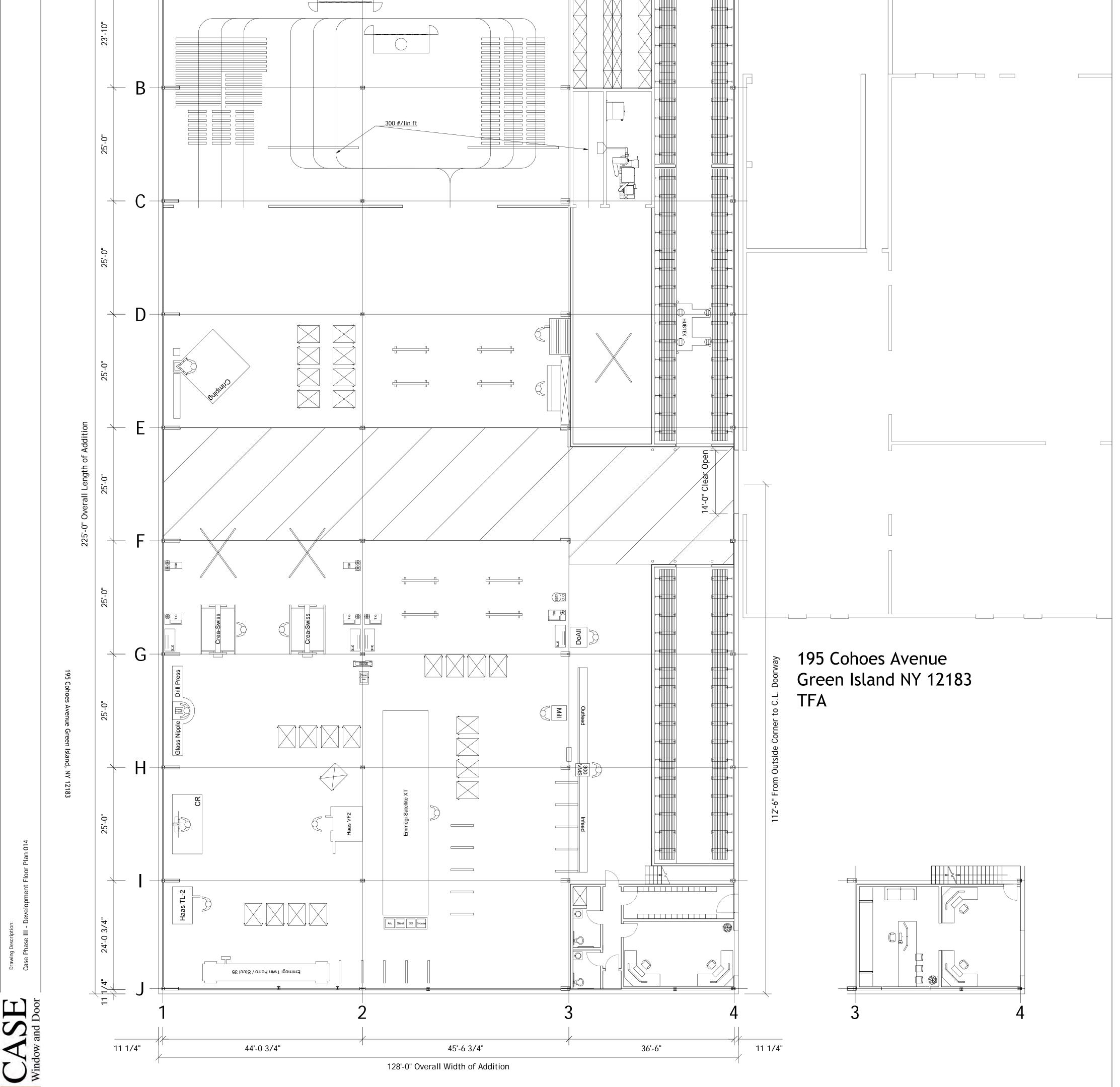
## TOTAL SQUARE FEET 28,800

## 9/18/2014









TOTAL SQUARE FEET 28,800

Plan 014

Floor

'nt

/elopme

Case Phase III - Dev

ipt

ing Desci

Draw

HVCC Downtown Tro

11

7

0

Unique 10 SU-315-2-B-T2075-000 A

8,036 square feet 2004 **Ross Building 75 102nd Street Troy NY 12180** 

0

0

2/22/2017 Google earth

42°45'25.35" N 73°40'31.25" W elev 68 ft eye alt 264 ft 🕥

View facing west

## **HVCC Downtown Troy**

# que ID SU-315-2-8-T2075-00<mark>0-A</mark>

4

2/22/2017 Google earth

Ross Building 75 102nd Street Troy NY 12180

36 squ

2004

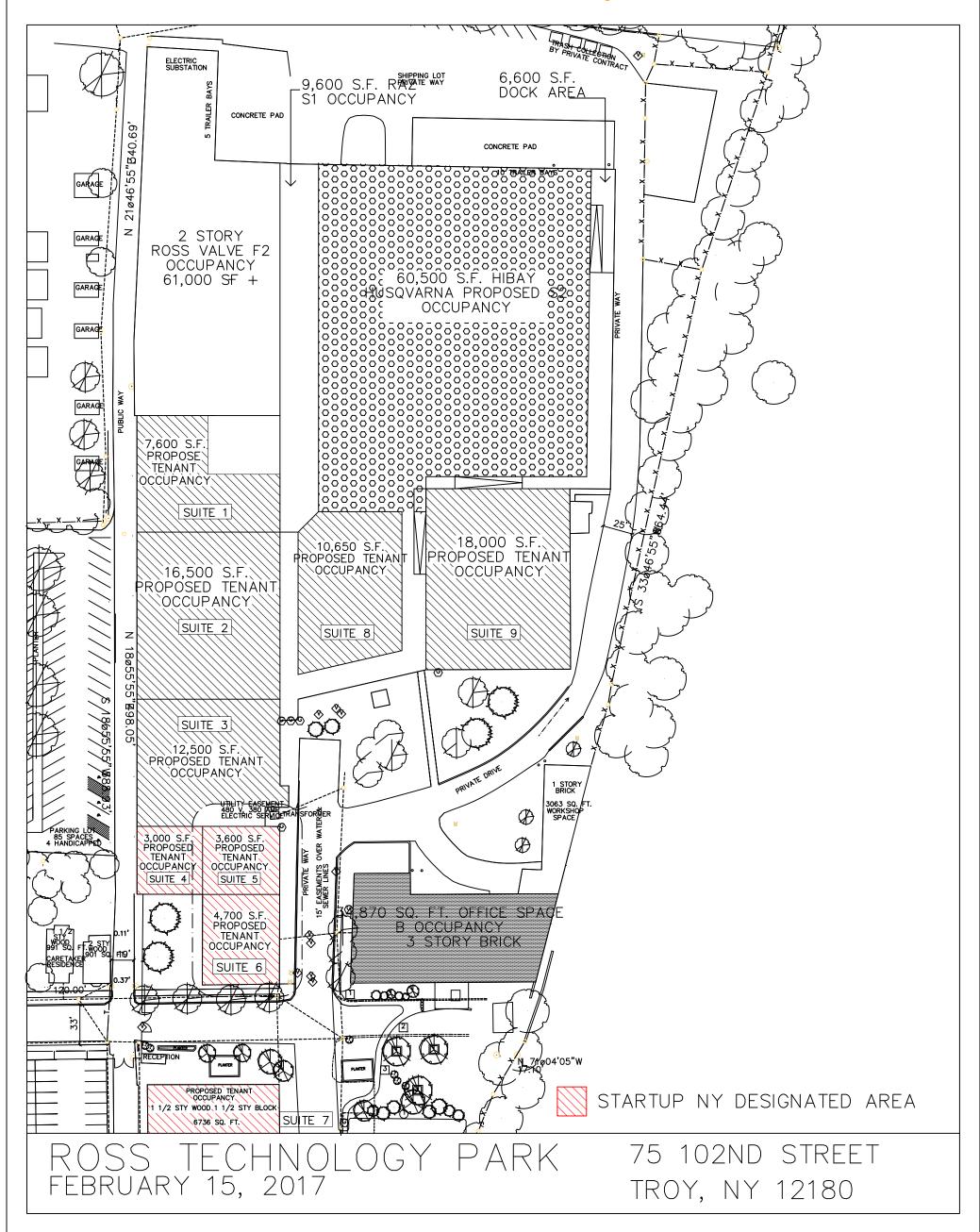
view facing south

2

42°45'27.87" N 73°40'31.82" W elev 63 ft eye alt 304 ft

## **HVCC Downtown Troy**

### Unique ID SU-315-2-B-T2075-000-A



## 18,036 SQUARE FEET



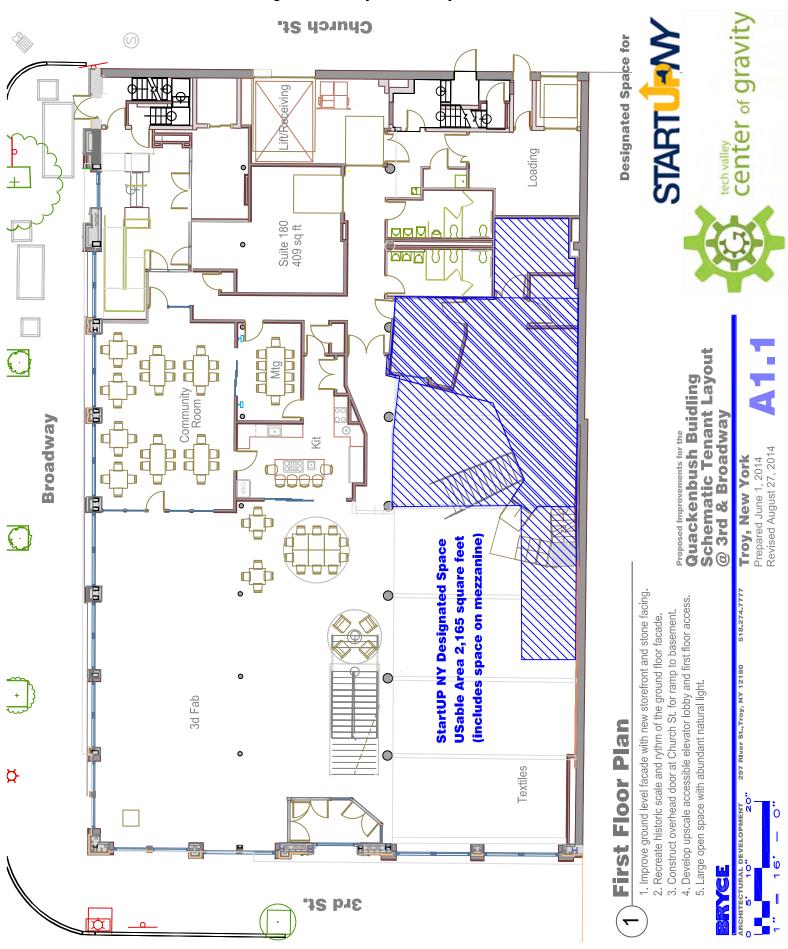
### StartUp NY Unique ID

off-campus

SU-315-2-B-T2032-001-A SU-315-2-B-T2032-002-A SU-315-2-B-T2032-003-A SU-315-2-B-T2032-004-A



0

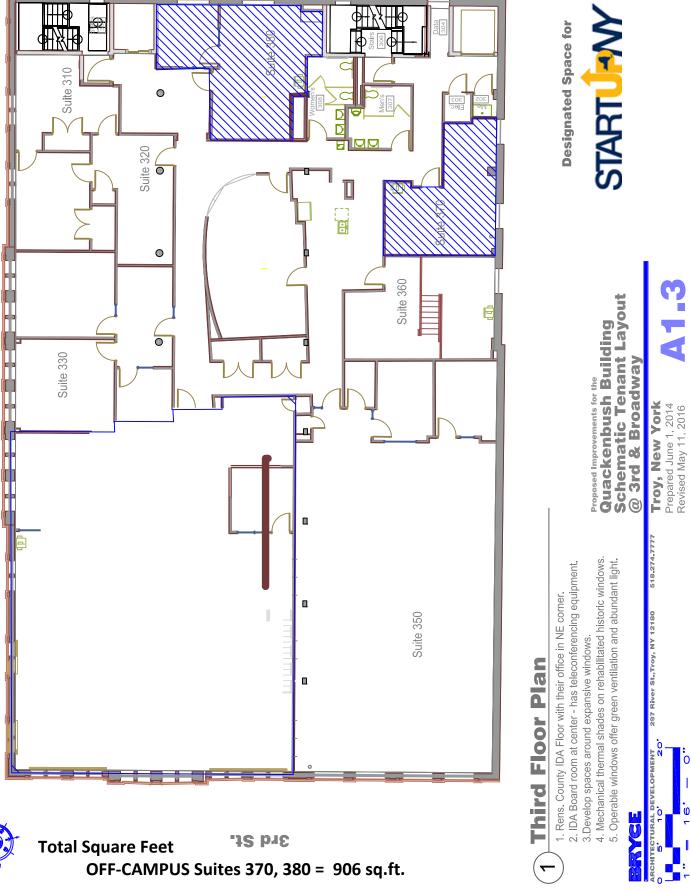


First Floor Total 2,165 Square Feet



Second Floor Total 6,278 Square Feet

11/16/2021

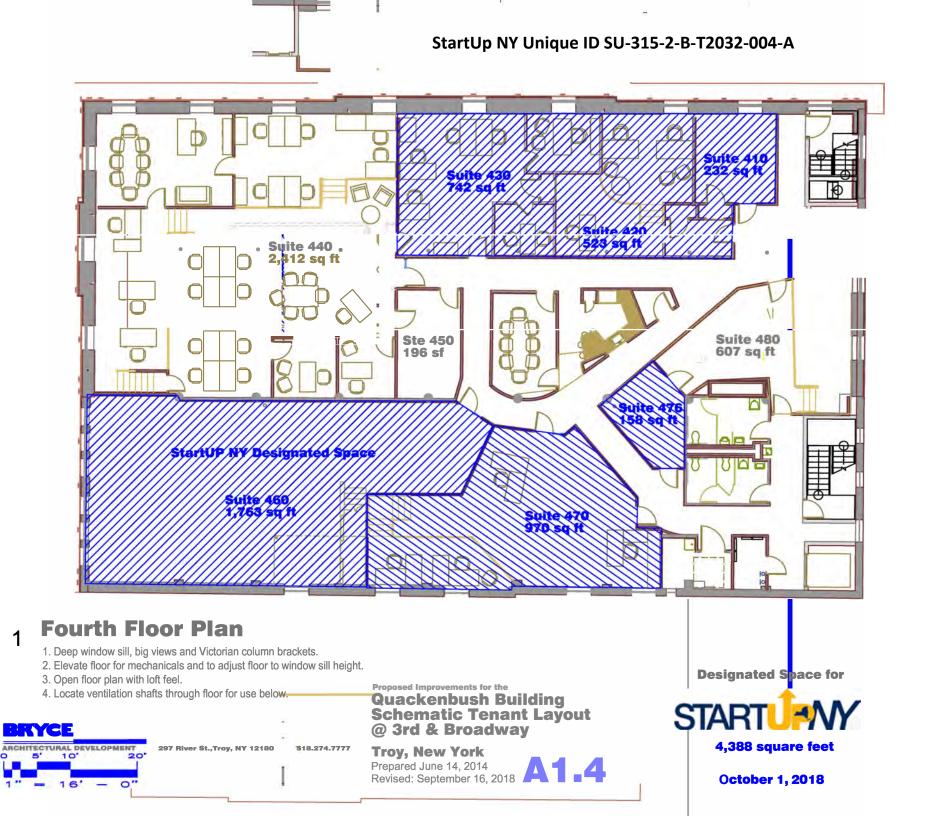


Broadway

Church St.

## HVCC

**2/20**/201**7** 





y

Total Tax Free Area 7,40

**500 Federal Street** Troy, NY 12020

StartUP NY Unique ID SU-315-2-B-T2500-002-A Y Unique ID SU-315-2-B-T2500-004-A



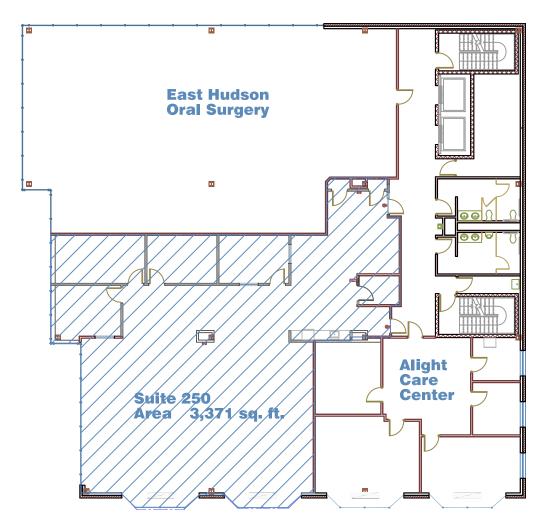
StartUP





## StartUP NY Unique IDÁ SU-315-2-B-T2500-00GA

500 Federal Street Troy, NY 12020



#### Total Tax Free Area 3,371

1 SECOND FLOOR PLAN

- 1. Construct three offices and a conference room.
- 2. Develop layout with tenant and construct to tenant specifications.
- 3. Space to be painted to tenant specifications.



Proposed Improvements for the Child Care Center Software

#### at 500 Federal St.

Troy, New York Prepared May 8, 2017 Revised -/-/-



ARCHITECTURAL DEVELOPMENT 0 8' 16' 3 1" = 20' - 0"

BRYCE

297 River St.,Troy, NY 12180 518.2

12180 518.274.7777



1

16'

0"

#### Federal St.





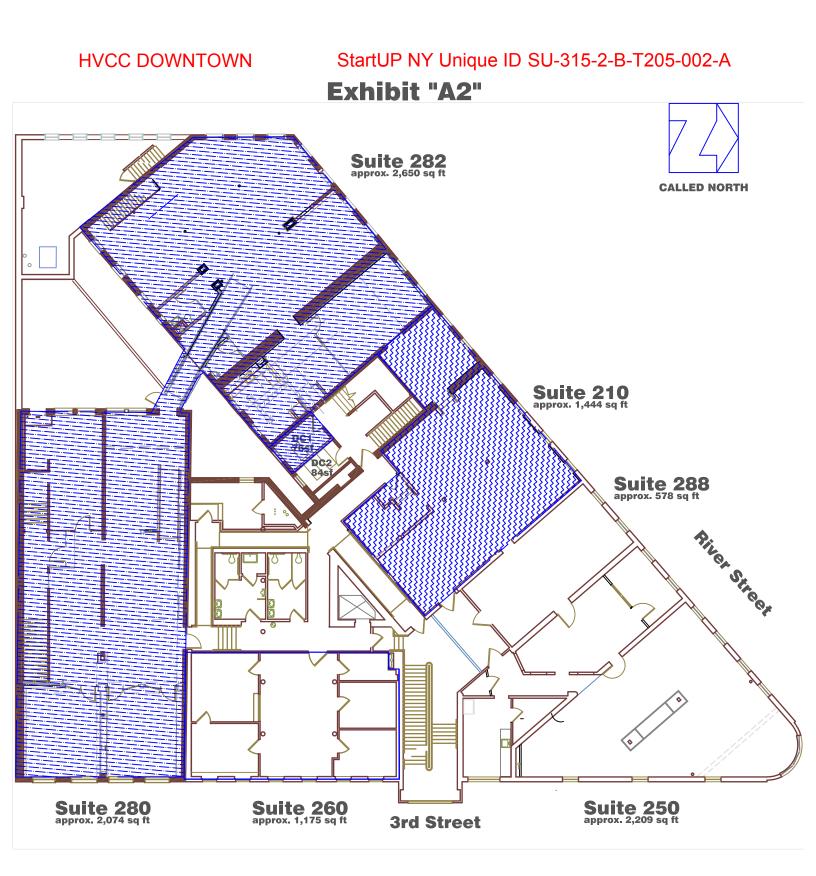






StartUP NY Unique ID SU-315-2-B-T2005-002-A StartUP NY Unique ID SU-315-2-B-T2005-003-A

> Market Block Building 5 Third Street Troy, NY 12180



Tax Free Area 6,168 Sq. Ft.

MARKET BLOCK 5 Third St. Second Floor Troy, NY 12180

October 1, 2018

# Exhibit "A3"



HVCC DOWNTOWN StartUP NY Unique ID SU-315-2-B-T205-003-A



**HVCC DOWNTOWN TROY** Frear Building 2 Third St, Troy, NY 12180 **StartUP NY Unique ID's by floor:** SU-315-2-B-T2002-002-A SU-315-2-B-T2002-003-A



Building Total 8,230 Square Feet

#### HVCC DOWNTOWN TROY Frear Building 2 Third St, Troy, NY 12180

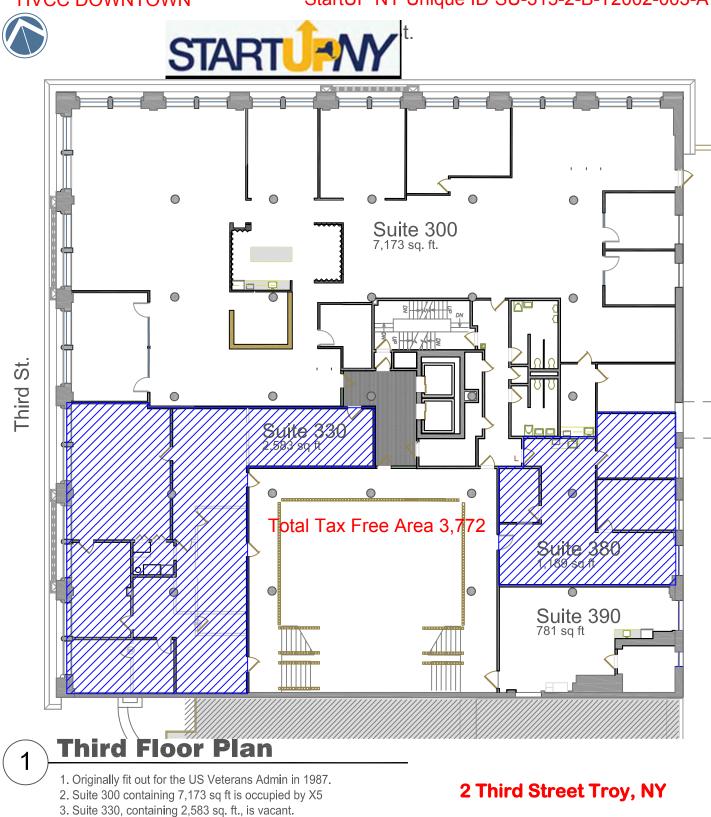
StartUP NY Unique ID's by floor: SU-315-2-B-T2002-002-A



Second Floor Total 4,458 Square Feet

**HVCC DOWNTOWN** 

StartUP NY Unique ID SU-315-2-B-T2002-003-A



- 4. Suite 380, containing 1,189 sq. ft., is currently vacant.5. Suite 390, Containing 781 sq. ft., was formerly the NYS DOH lunch room.
- 6. Most rooms have individual temperature controls.
- 7. All spaces have easy access to wiring and can be independant.

Proposed Improvements for the Frear Building for



# Tax Free Area 3,772

297 River St.,Troy, NY 12180 518.274.7777

Troy, New York Prepared March 12, 2017 Last Revised -/-/-



11/27/17

## **HVCC DOWNTOWN**

291 River Street Second Floor Troy, NY 12180

artUP NY Unique ID SU-315-2-B-T2291-002-A

Dadar

02/23/17

# STARTURNY

TLUM

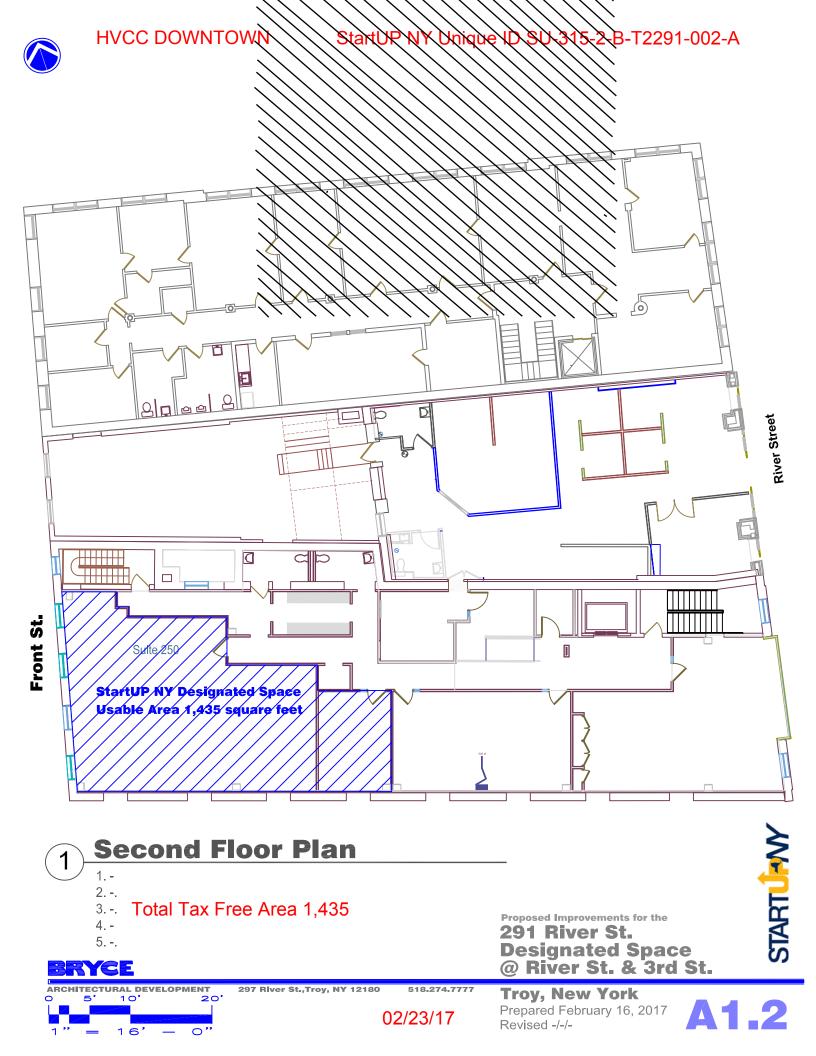
DE STATELUTY ART & APPLACE ECO-FREINDLY SCHLOR PRINTING

29

Total Tax Free Area 1,435

TROY CLOTH & PAPER

Ø







RIVER CORRIDOR BRT CITY OF TROY, NEW YORK PROJECT #: 115-201 Total Tax Free Area 3,500

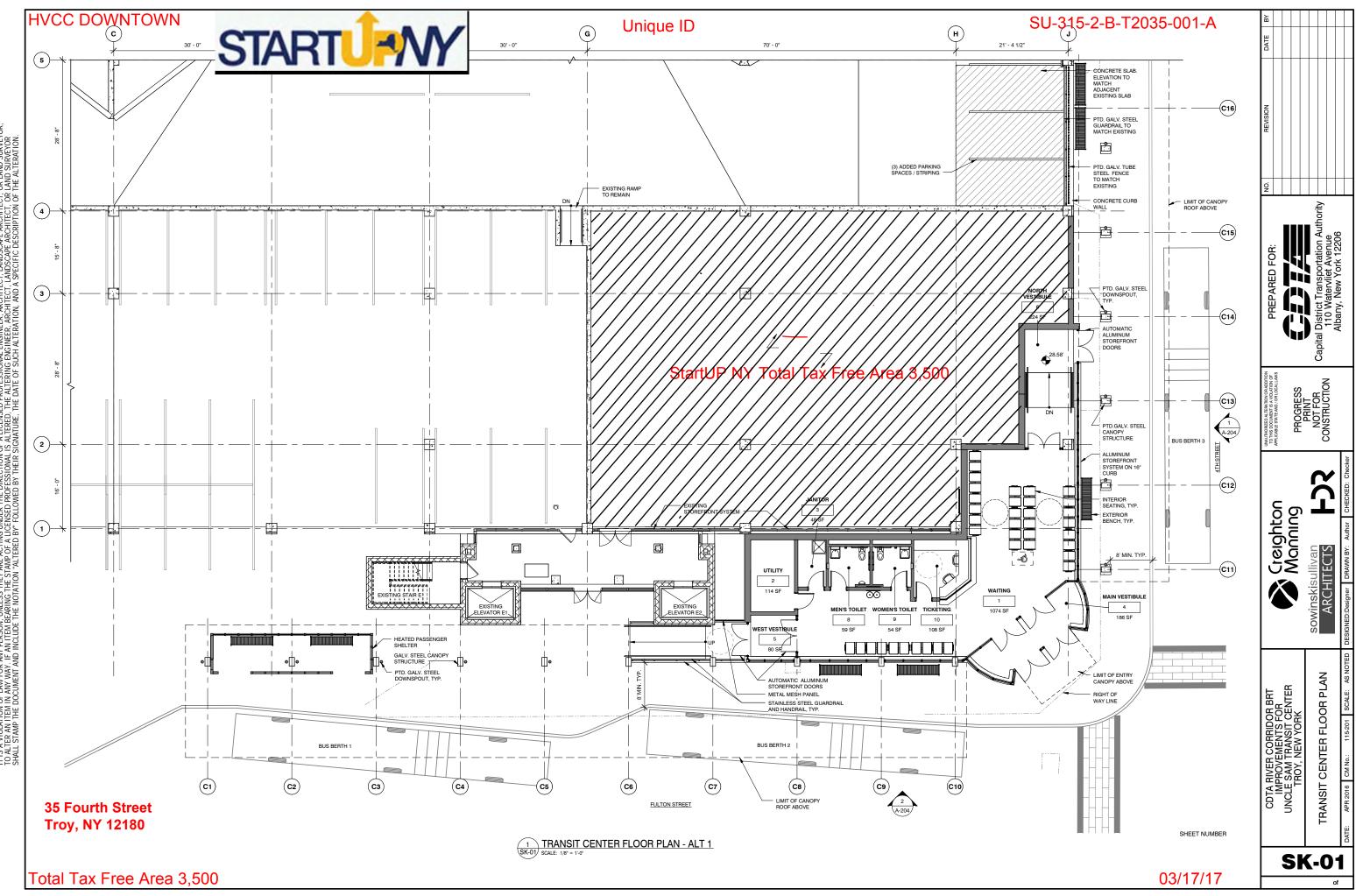


UNCLE SAM TRANSIT CENTER

#### SU-315-2-B-T2035-001-A

January 25, 2017 Street Level Perspective

Creighton Manning



FILE = C:\Users\bkava\\Dacı DATE = 6/23/2016 2:51:47 PM USER = \$RVTUSER\$

StartUp NY Unique ID: SU-315-2-B-2274-001-A

SU-315-2-B-2274-002-A

HVCC Downtown Troy

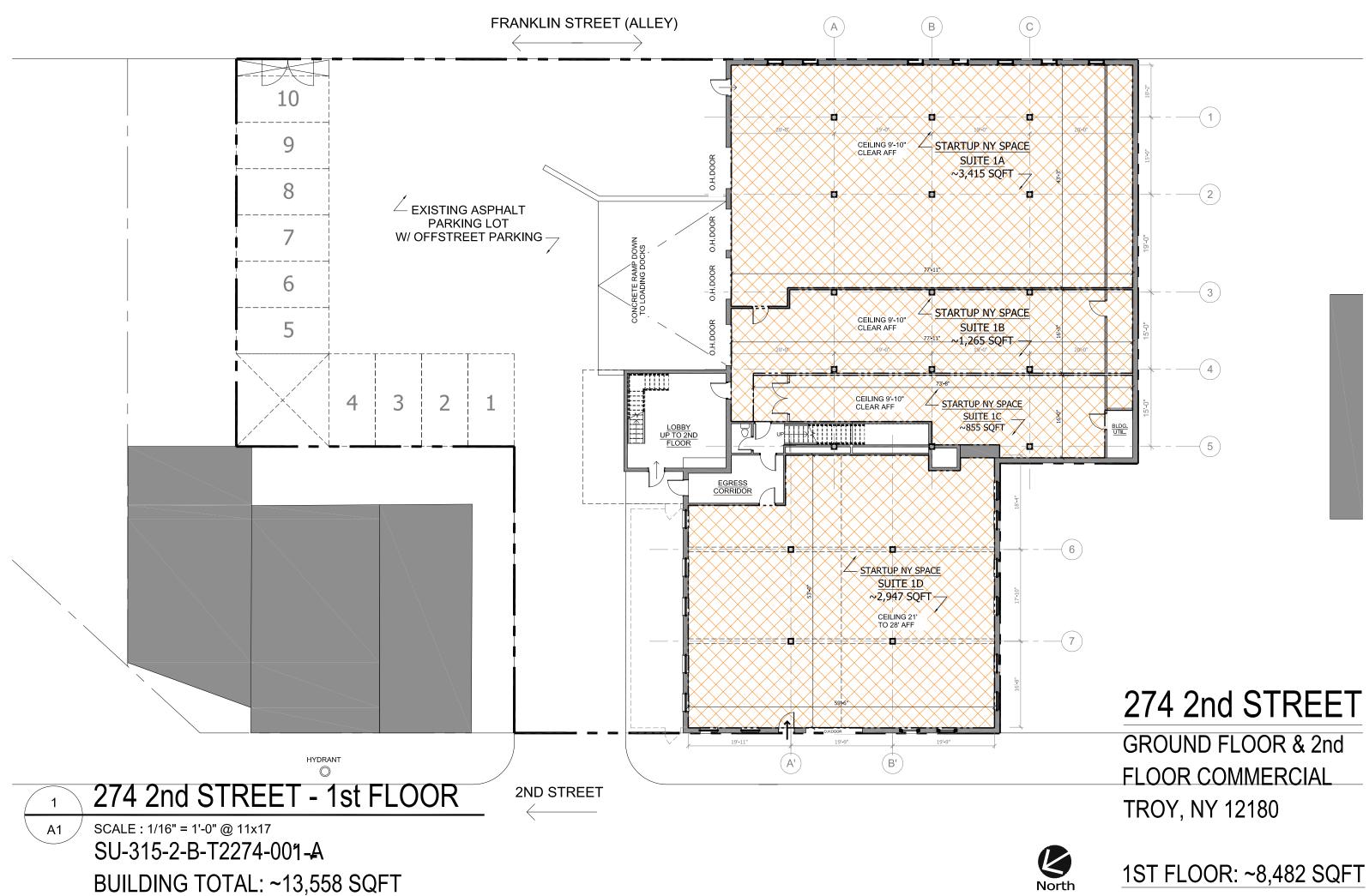


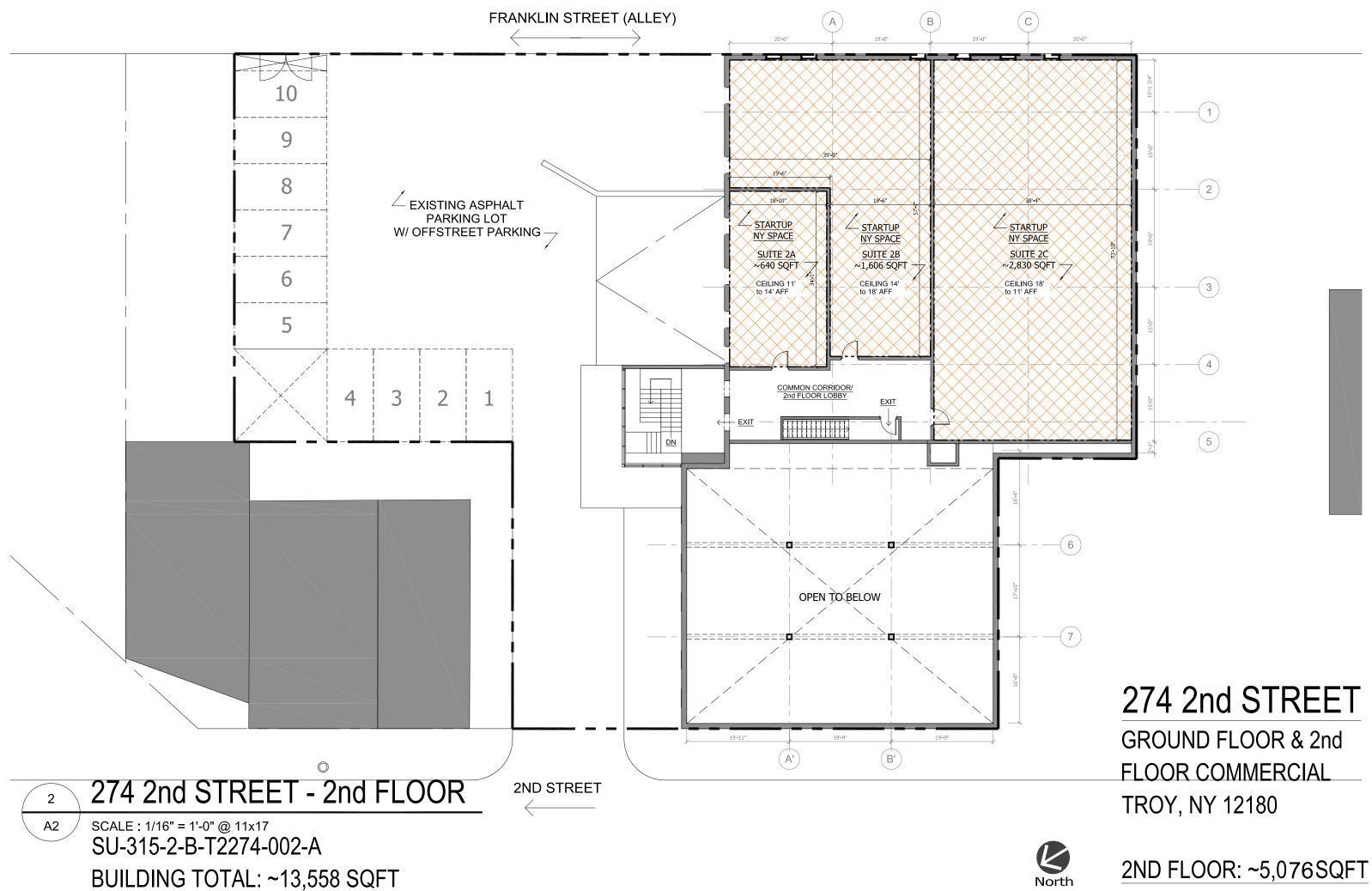
274 Second Street Troy NY 12180



Total Tax Free Area 13,558

2/23/2017





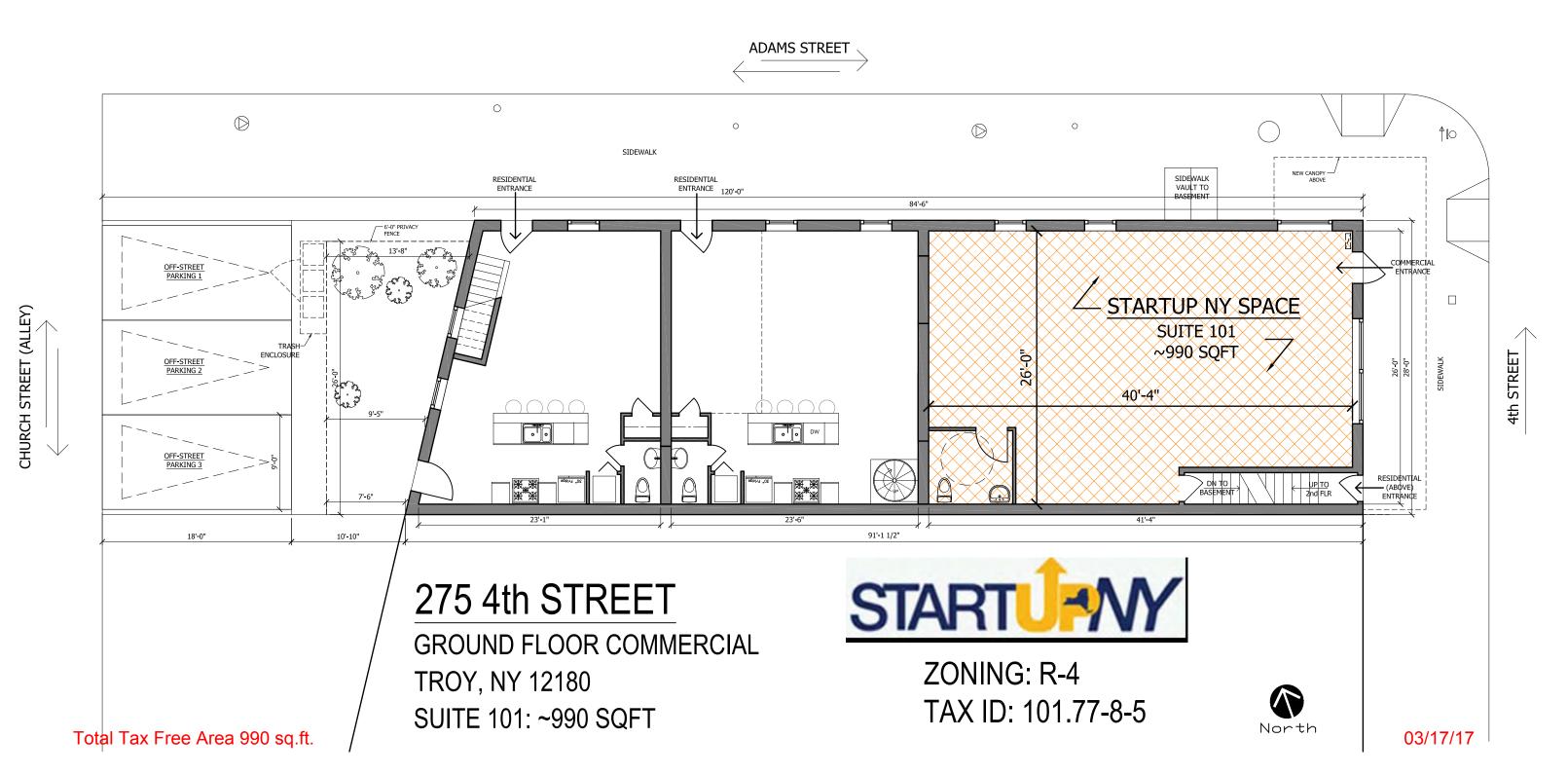
#### SU-315-2-B-T2275-001-A





275 Fourth Street Troy, NY Total Tax Free Area 990 sq.ft.

03/17/17



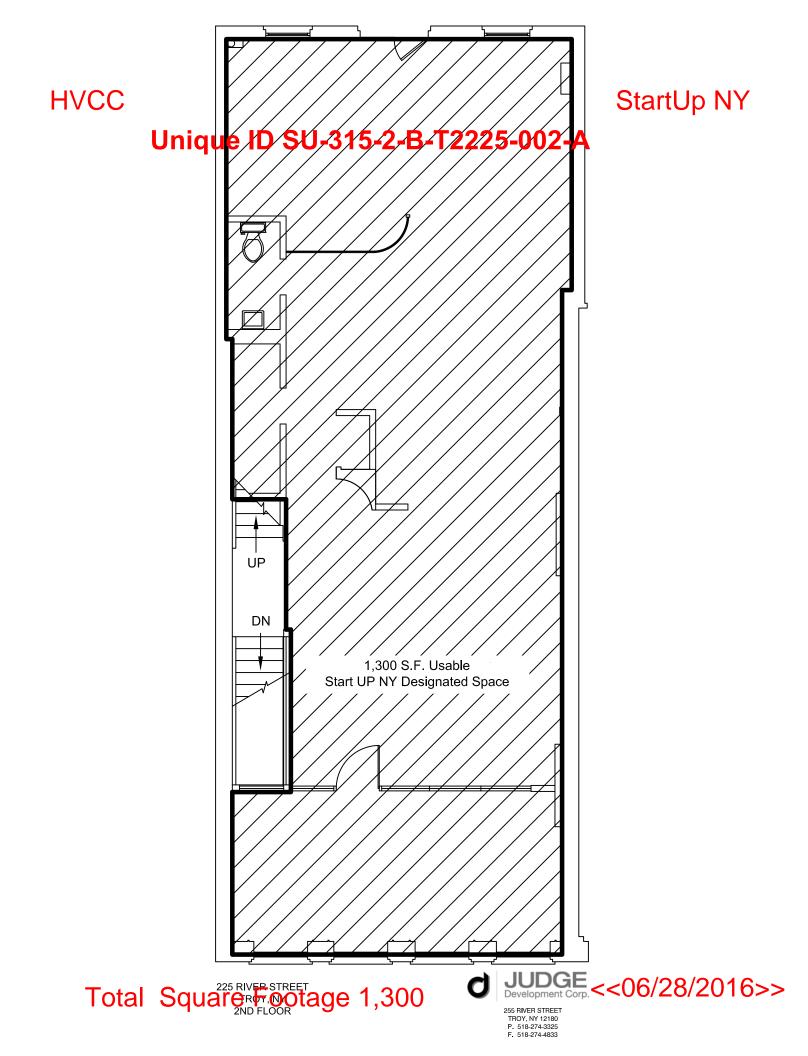
HVCC StartUp NY Unique ID SU-315-2-B-T2225-002-A StartUp NY Unique ID SU-315-2-B-T 2225-003-A

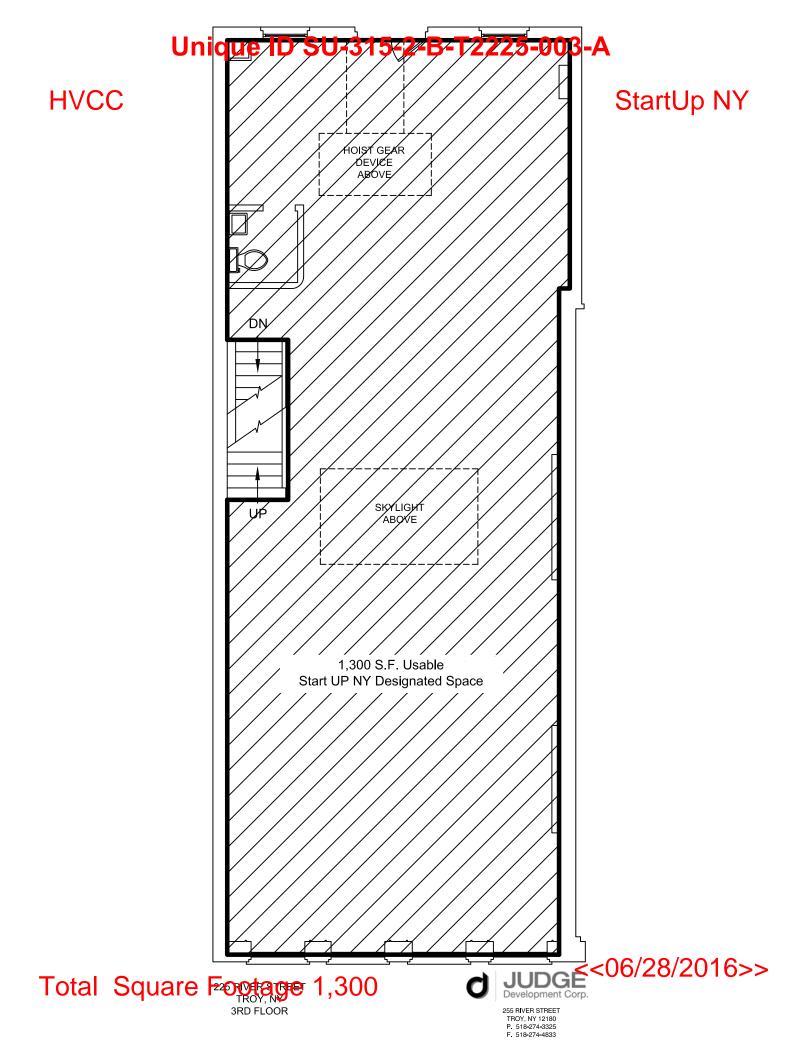


Sentinel Building 225 River Street, 2nd and 3rd Floor Troy 12180



Total Square Feet Building 2,600





HVCC

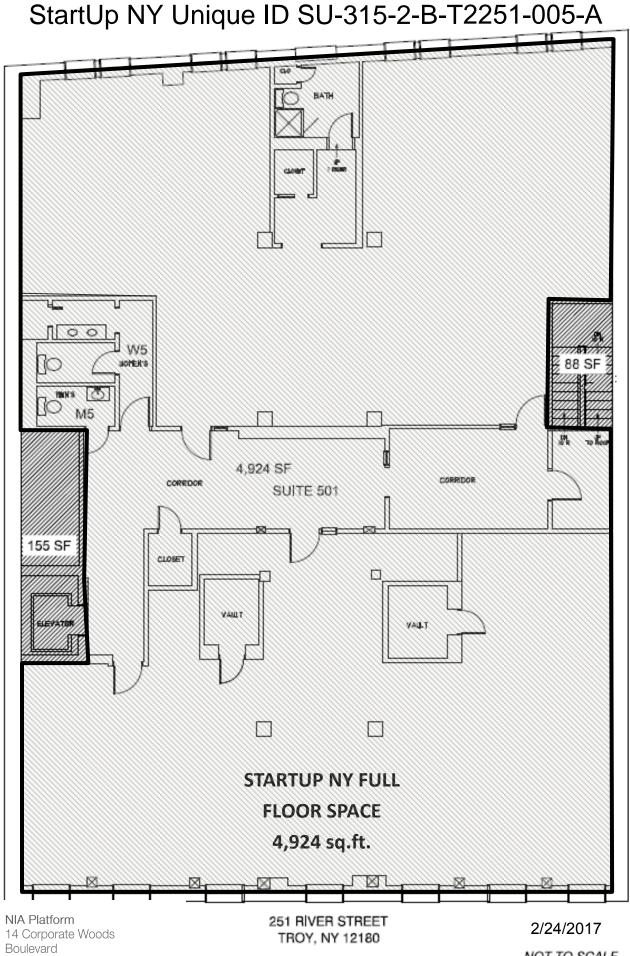
# StartUp NY Unique ID SU-315-2-B-T2251-005-A



# Burdett Building 251 River Street Fifth Floor, Troy, NY 12180



Total Square Feet 4,924



FIFTH FLOOR

Albany, New York 12211

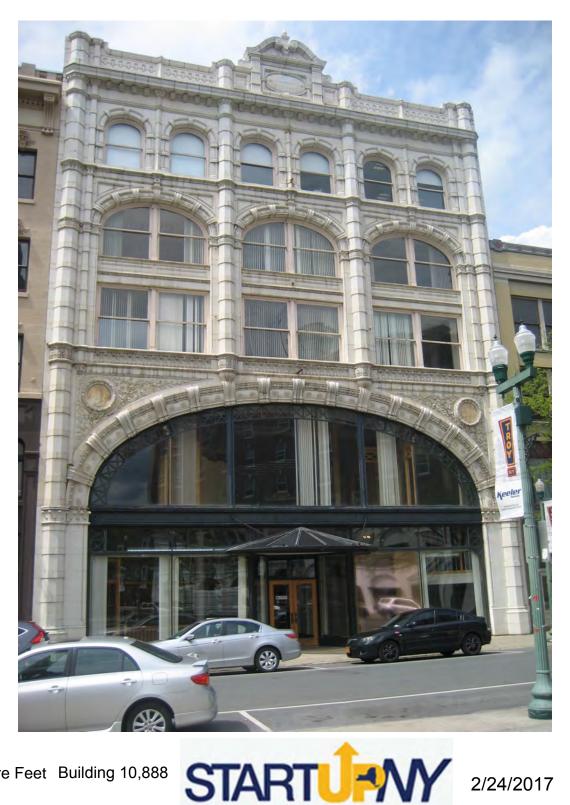
518-465-1400 x225

NOT TO SCALE

# StartUp NY Unique ID SU-315-2-B-T2255-001-A StartUp NY Unique ID SU-315-2-B-T2255-002-A

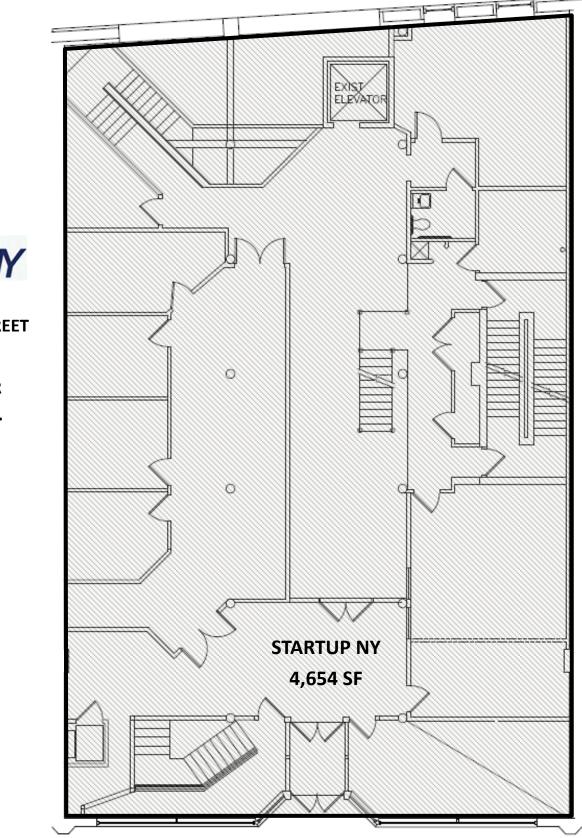
# **HVCC Downtown** Troy

**McCarthy Building 255 River Street** First Floor, Mezzanine, **Second Floor** Troy, NY 12180



Total Square Feet Building 10,888

# StartUp NY Unique ID SU-315-2-B-T2255-001-A





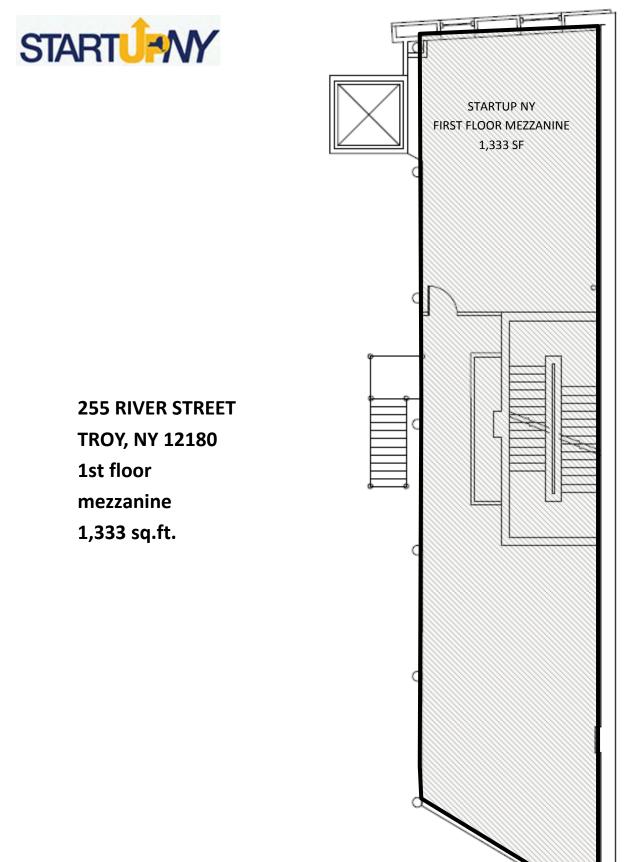
255 RIVER STREET TROY, NY 1ST FLOOR 4,654 sq.ft.

NIA Platform 14 Corporate Woods Boulevard Albany, New York 12211 518-465-1400 x225

Total Square Feet StartUP NY Space 5,987

2/14/2017

StartUp NY Unique ID SU-315-2-B-T2255-001-A

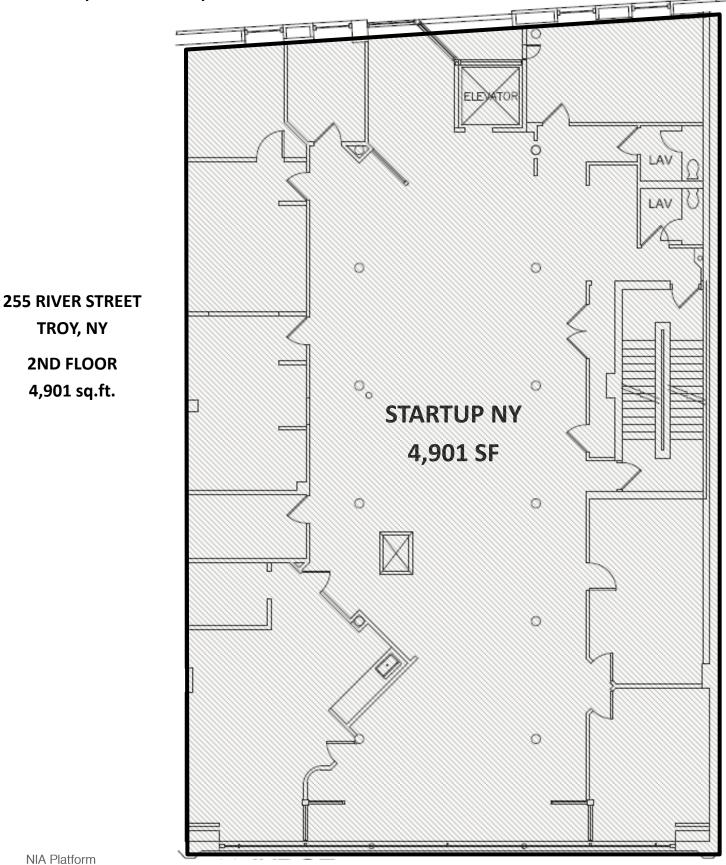


NIA Platform 14 Corporate Woods Boulevard Albany, New York 12211 518-465-1400 x225

2/24/2017

Total Square Feet StartUP NY Space 5,987

# StartUp NY Unique ID SU-315-2-B-T2255-002-A



NIA Platform 14 Corporate Woods Boulevard Albany, New York 12211 518-465-1400 x225



#### **HVCC DOWNTOWN**

StartUP NY Unique ID

SU-315-2-B-T2403-002-A SU-315-2-B-T2403-003-A

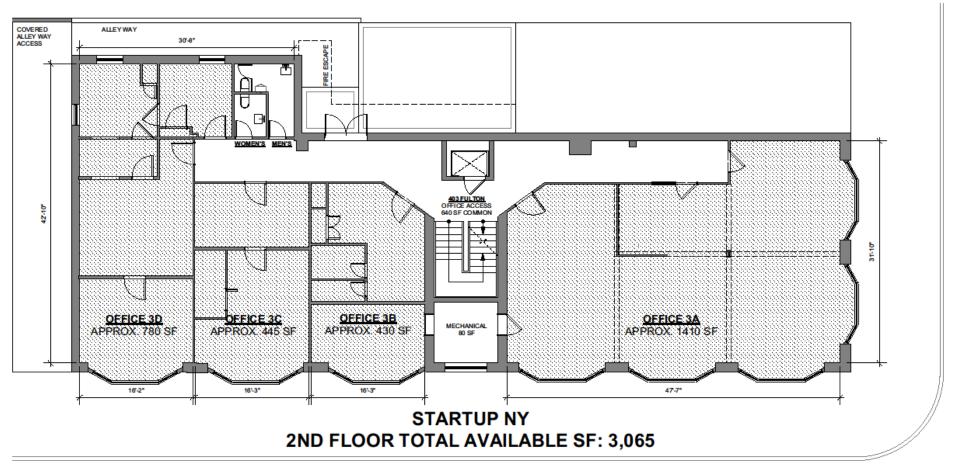
403 Fulton Street Troy, NY 12180

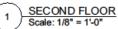




**HVCC DOWNTOWN** 

#### StartUP NY Unique ID





#### FULTON STREET



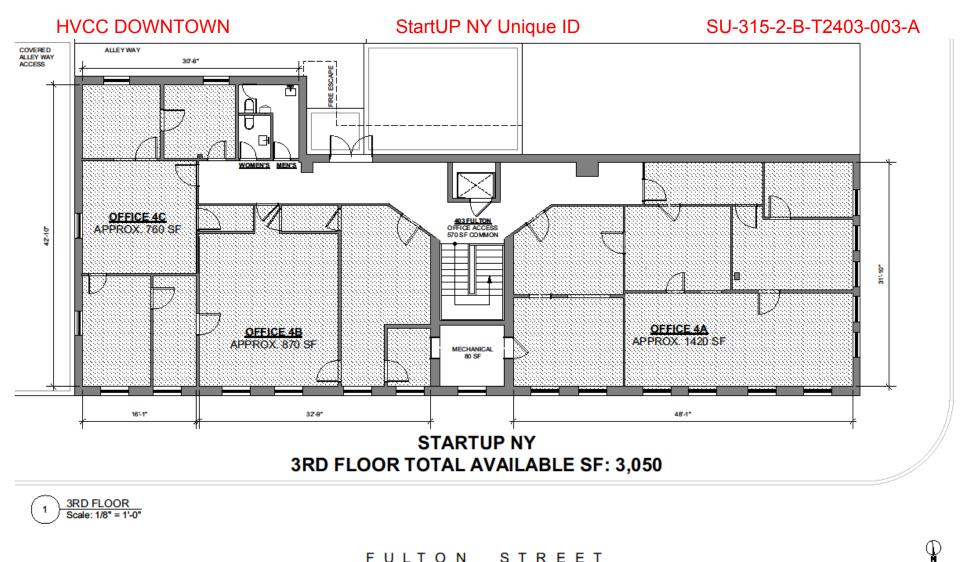
START-UP NEW YORK

Tax Free Area 3,065 2ND Floor

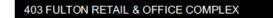
02/24/17

- -

01.25.2017







START-UP NEW YORK

Tax Free Area 3,050 Third Floor



01.25.2017

### HVCC DOWNTOWN TROY

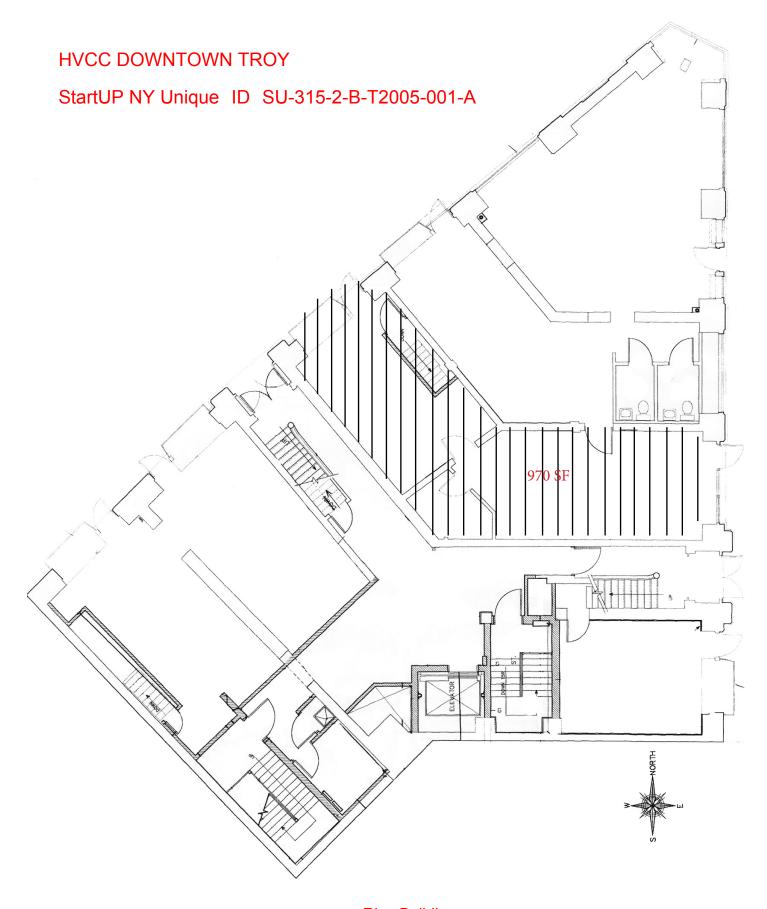
StartUP NY Unique ID SU-315-2-B-T2005-001-A StartUP NY Unique ID SU-315-2-B-T2005-002-A StartUP NY Unique ID SU-315-2-B-T2005-003-A StartUP NY Unique ID SU-315-2-B-T2005-004-A



# 5 First St., Floors 1-4 Troy, NY 12180



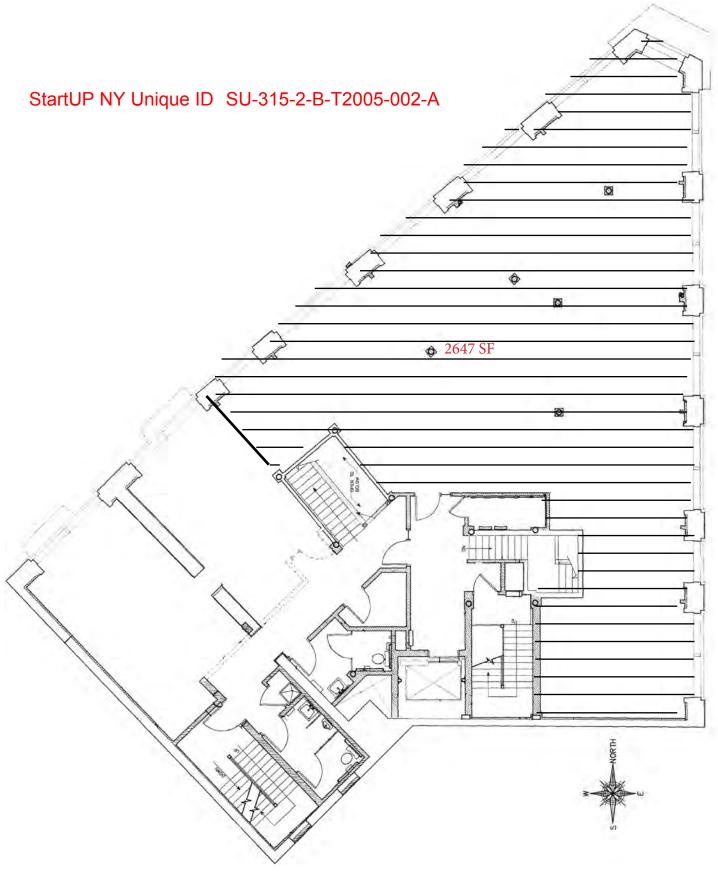
Total Tax Free Area 11,547



**Rice Building First Floor** 

Total Tax Free Area 970

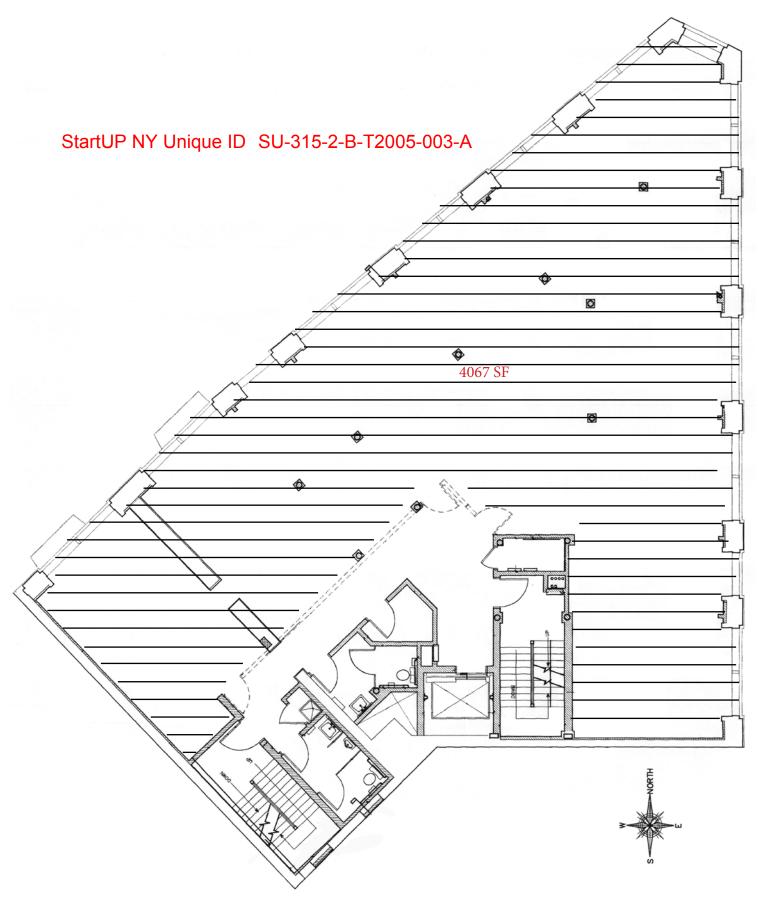
Rice Building 5 First Street Troy, NY 12180



**Rice Building Second Floor** 

Total Tax Free Area 2,647

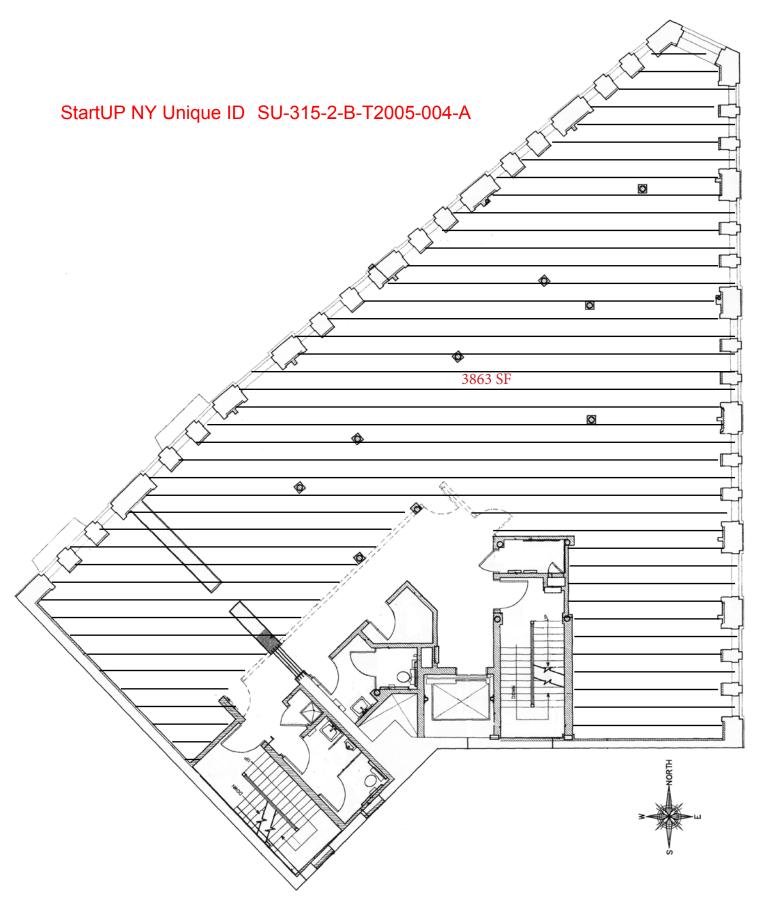
Rice Building 5 First Street Troy, NY 12180



**Rice Building Third Floor** 

Total Tax Free Area 4,067

Rice Building 5 First Street Troy, NY 12180



#### **Rice Building Fourth Floor**

Total Tax Free Area 3,863

Rice Building 5 First Street Troy, NY 12180



Not To Scale Prepared by: C. Wyllie Date: 2-13-2017

**Owner:** 12th Street Ventures, LLC 36 Belle Ave., Troy, NY 12180

Total Tax Free Area 5,544 Building

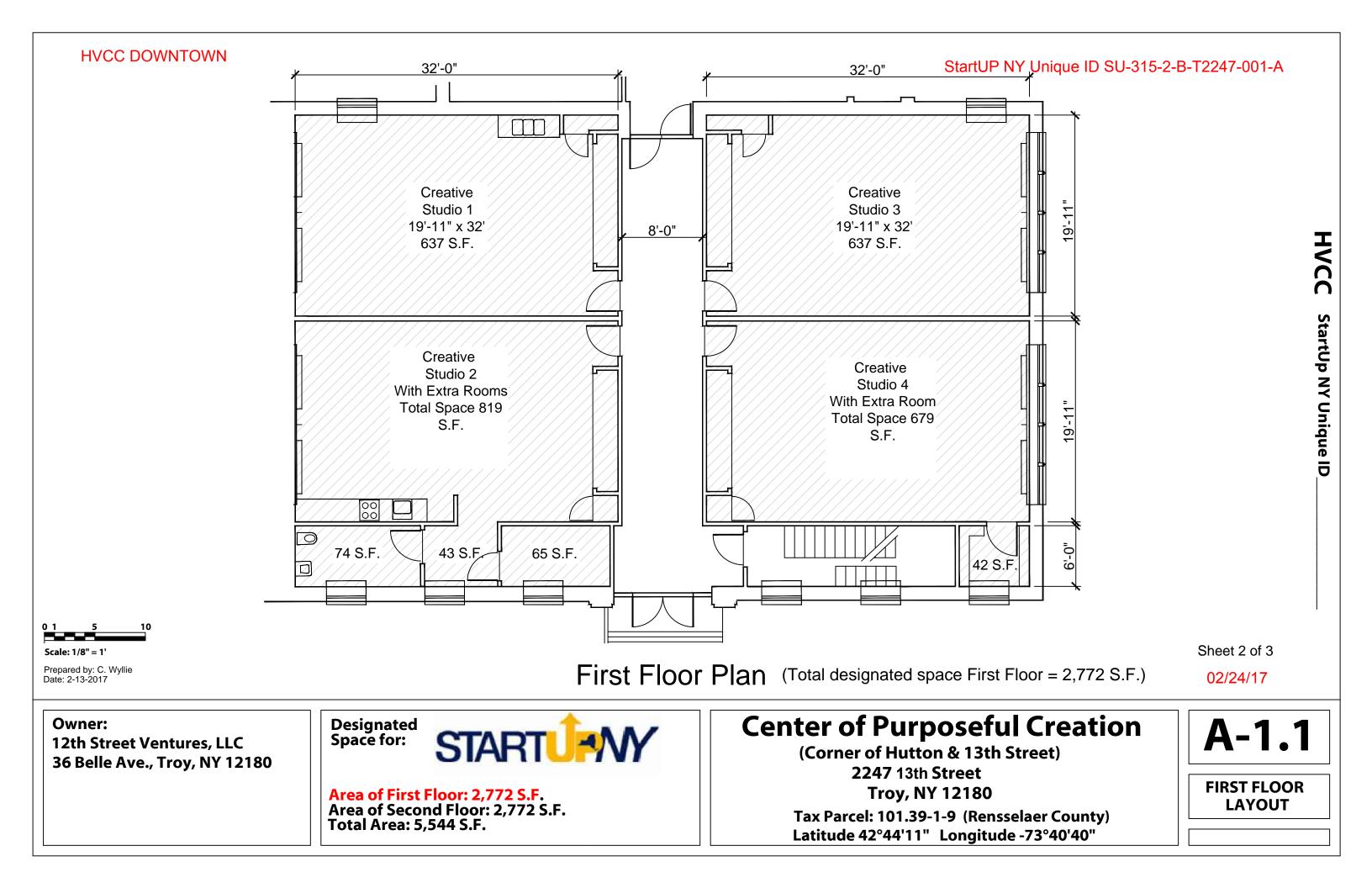


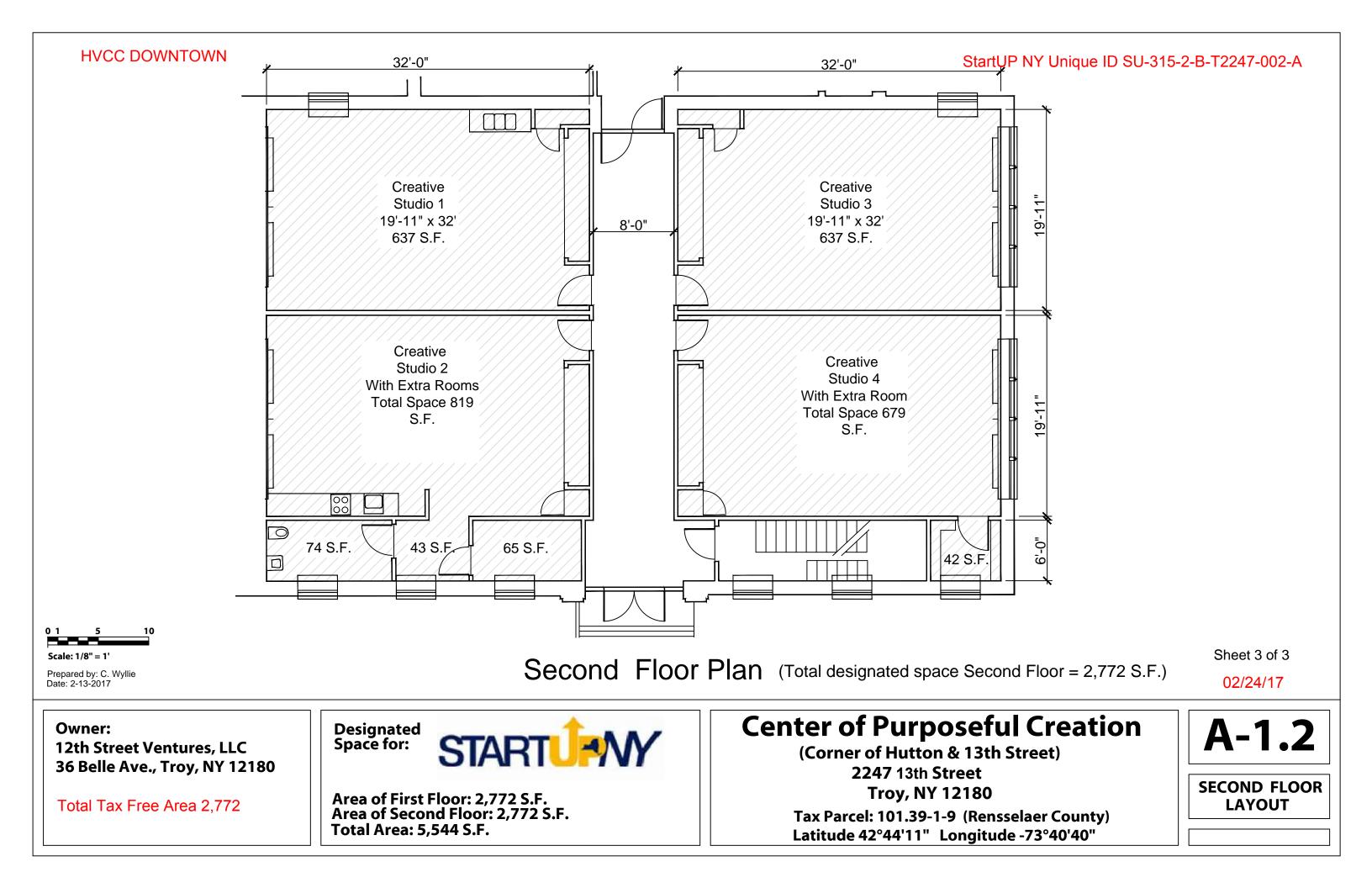
Area of First Floor: 2,772 S.F. Area of Second Floor: 2,772 S.F. Total Area: 5,544 S.F.

## **Center of Purposeful Creation**

(Corner of Hutton & 13th Street) 2247 13th Street Troy, NY 12180 Tax Parcel: 101.39-1-9 (Rensselaer County) Latitude 42°44'11" Longitude -73°40'40"

Sheet 1 of 3



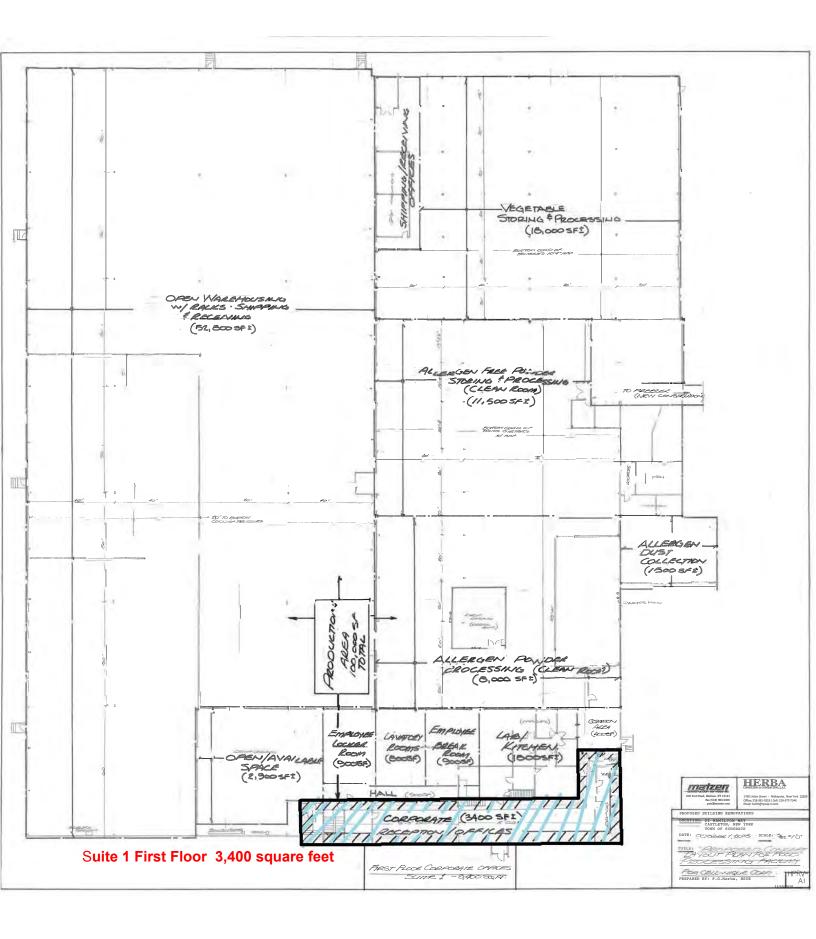


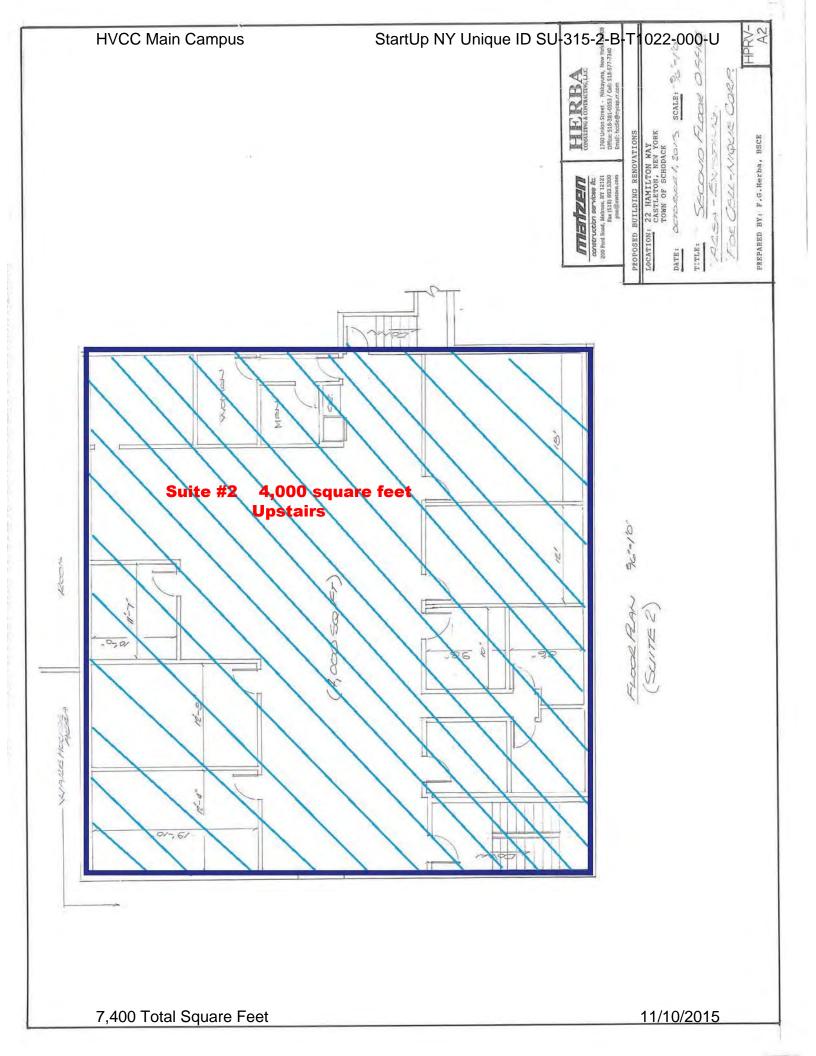


#### Hamilton Building 22 Hamilton Way, Castleton-on-Hudson, NY 12033



**HVCC Main Campus** 







HVCC Malta

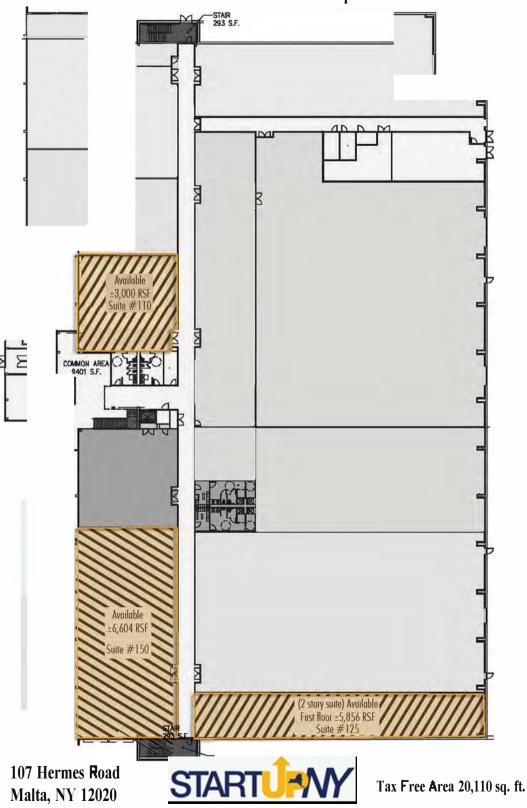
StartUP NY Unique ID SU-315-2-M107-001-A StartUP NY Unique ID SU-315-2-M107-002-A

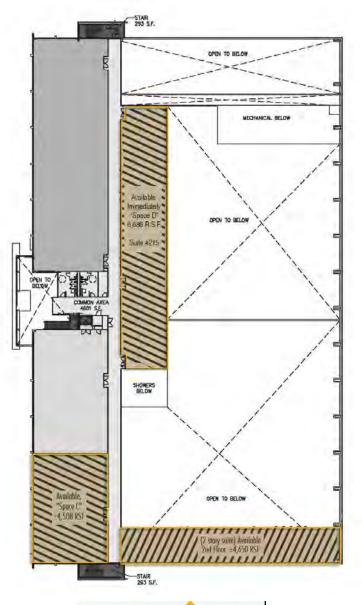
107 Hermes Road Malta, NY 12020

Total Tax Free Area 31,304 Square Feet



StartUP NY Unique ID SU-J15-2-M107-001-A





107 Hermes Road Malta, NY 12020



Tax Free Area 11,194 sq. ft.

# StartUP NY Unique ID SU 315-1-B-T2019-002-A

Pist a

200

Total Tax Free Area 7,057 Squar ON-CAMPUS SPACE -MOU Re

11 mil

roy Area Se Service Center 19 Third Street

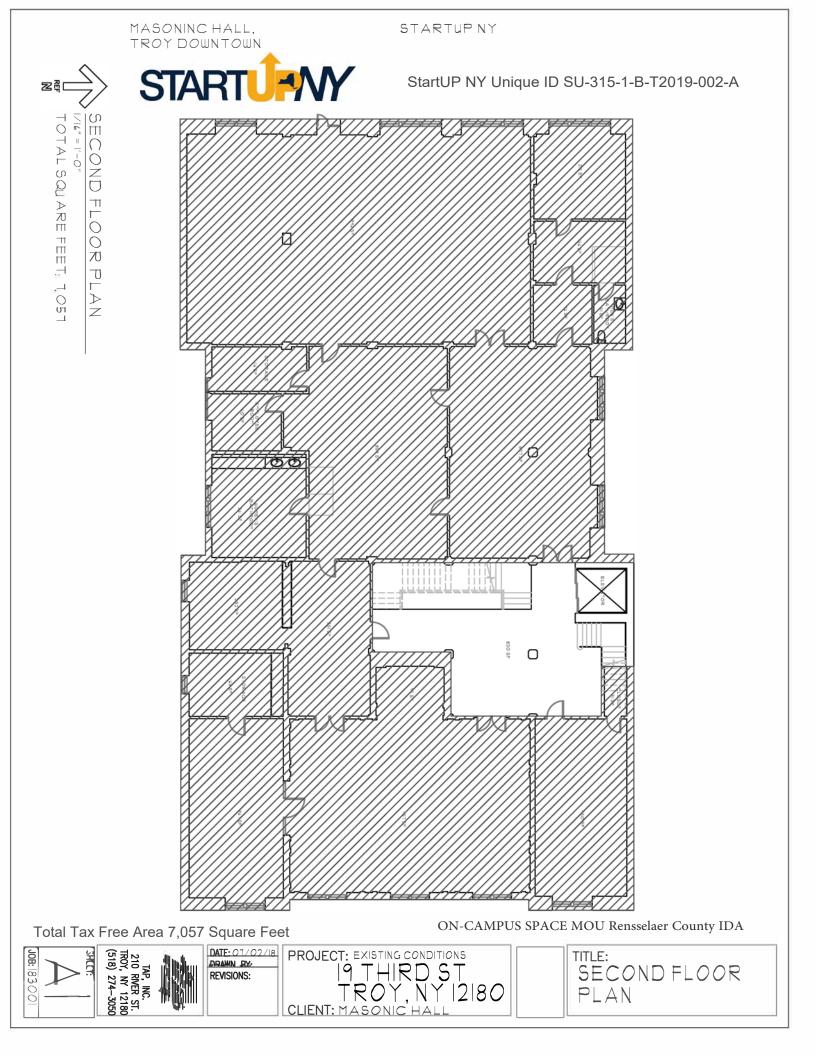
XX

M

**19 Third Street** Troy, NY 12180

8

COROLLA



HVCC

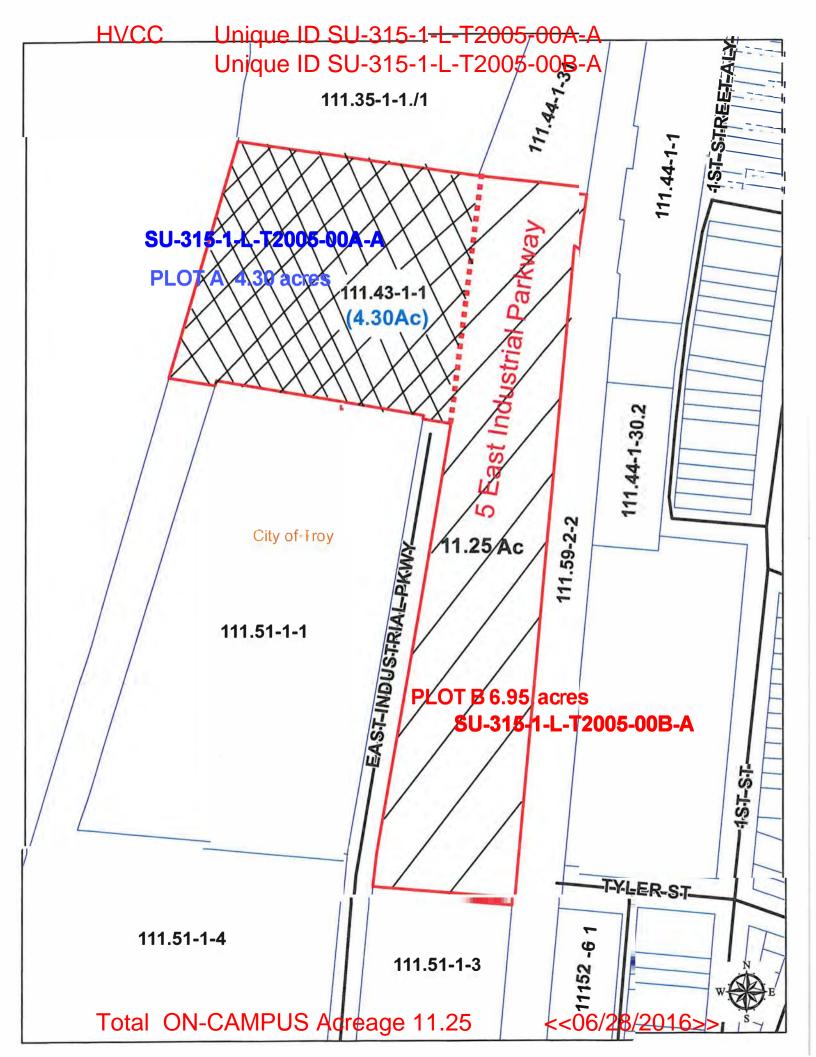
StartUp NY Unique ID SU-315-1-B-T2005-00A-A StartUp NY Unique ID SU-315-1-B-T2005-00B-A



5 East Industrial Parkway, Plot A and Plot B Troy, NY 12180



**Total ON-CAMPUS Land 11.25 Acres** 



**HVCC** 

### StartUp NY Unique ID SU-315-1-B-T11477-000-A



Maple Hill Middle School Basement 1477 South Schodack Road Castleton, NY 12033



Total Sq. Ft. 6,500 ON-CAMPUS SPACE

